Appendix 11

Supporting Chapter 11 – Road Drainage and the Water Environment

- Appendix 11.1 Water Quality Assessment
- Appendix 11.2 Dean Burn Diversion Hydromorphology Design Technical Note
- Appendix 11.3 Flood Risk Assessment
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- Appendix 11.5 Hydrogeological Assessment Technical Note

Appendix 11.1 – Water Quality Assessment

Serious Spillage Risk calculations **Calculation of Spillage Risk** The runoff from this section will be discharged to *********** **Catchment 1**

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Annual probability of a spillage with the potential to cause a serious spillage incident.
The probability, given a spillage, that a seri
- sensitivity of watercourse and emergency services response time).
Road length in kilometres
Serious Spillage Rates
-
-

Road within 100m of Roundabout
Road within 100m of Side Road

Road within 100m of Sip Road

Serious Spillage Rates (SS): -
Anton Volume 11, Section 3, Part 10, Table D.1.1)

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Link 438z/439z Link 453z/454z

- P_{max} = The probability of a spillage with an associated risk of a serious pollution incident occurring
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The probability, given a spillage, that a serious pollution incident will result (based on Philippine of Phili
- s ens itiv ity of waterc ours e and em ergenc y s erv ic es res pons e tim e). Side Road RL = Road length in kilometres
- SS = Serious Spillage Rates
AADT = Annual Average Daily Traffic (using Design Year 2031)
- %HGV = Percentage of Heavy Goods Vehicles

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- Road within 100m of Roundabout
Road within 100m of Side Road Psplet
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Road within 100m of Slip Road Side Road

a) Probability of serious accidental spillage (Pspl) is given by: -

- **Pspl** = **RL x SS x (ADDT x 365 x 10 9) x (HGV%/100)** Pspl
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Contract Contract

Link 227/113 Link 0

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 $P = 0 x - 1.81 x - 0 x (365x10^{-9})x (-1100) = 0$

 $P = 0 x 0.36 x 0.36 (365x10^{-9}) x (-100) = 0$

0.000194 ^P

PPO L

Perc entage HGVs v ariable % Roundabout **Proposed mitigation measure: Detention Pond**

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^Pspl = ⁰

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Appendix 11.2 – Dean Burn Diversion Hydromorphology Design Technical Note

Technical Note

Background and channel characteristics

Transport Scotland has appraised a number of options to reconfigure the Sheriffhall roundabout on the A720 Edinburgh City Bypass with the aim of relieving traffic pressure. The work is now at Stage 3 DMRB (EIA) and part of the design involves the diversion of the Dean Burn to accommodate the earthworks for the realigned/widened road. The proposed planform is shown on [Figure 1](#page-24-0) below.

Figure 1 Proposed new planform (the lines represent the bank lines)

The Dean Burn is a minor tributary of the River North Esk and rises as the May Burn at the Pentland Industrial Estate, flowing from west to east, to the south of the A720. The burn is highly impacted by realignment, with a straightened planform, culverting, canalisation and lack of natural bed features along its length. Historic maps show that the Dean Burn has changed little since the OS maps of the 1800s were created. The banks comprise very soft, erodible sandy material, as the superficial geology in this area is

dominated by glacial sand and gravel deposits. There is no significant alluvial floodplain present suggesting only minor reworking of this material. The burn is a low activity, locally sinuous, single thread, riffle-poolplain bed system. There is a general lack of gravel bedload to the channel and an excessive input of fines. This is related to diffuse inputs linked to the management of the system (agriculture, industrial areas and road runoff), as well as the local erosion of bank material containing a high proportion of fines. Where there is more coarse bed material, this appears to be immobile, and in places has become indurated into the bed (as evidenced by the presence of embedded brick and rubble debris).

Where the channel has been able to begin naturalising, there is evidence of increased local sinuosity and the development of some bed features [\(Figure 2](#page-25-0) and [Figure 3\)](#page-25-1). Grossly over-wide sections have seen subsequent development of low level fine sediment berms which have become vegetated. These provide beneficial diversity to the flow and marginal habitat in these reaches.

Figure 2 Naturalising reach

Figure 3 Erosion of bank upstream of pond and fine sediment berm formation

The Dean Burn flows through an area of woodland at Lugton Bogs, before entering farmland. The woodland is poorly managed, with large volumes of woody debris in the channel; see [Figure 4.](#page-26-0) The presence of this material in the channel has helped to create a somewhat morphologically diverse channel, with some steps and pools, and erosion and deposition processes evident. However, it is likely that woody debris could be transported downstream during high flows as the majority has been stripped of branches and is unanchored.

Figure 4 Dean Burn in the woodland area

The burn is more confined in the wooded reach, with some steep banks in sinuous sections, acting as a local source of fine sediment and some smaller gravels [\(Figure 5](#page-27-0) to [Figure 7\)](#page-28-0). Some deeper pools have formed in these areas, which would normally be indicative of active transport of material, flushing out and maintaining the pools. It is likely in this case that finer material is flushed through the pools in higher flows but undersupply of the coarser bedload has inhibited infilling.

Figure 5 Erosion and deposition features

Figure 6 In channel sediment with local sinuosity

Figure 7 In channel deposition in woodland area with fallen trees (or wood dumped in) and dropping out of fines.

Downstream of the woodland, the watercourse is realigned and deepened through agricultural land and subsequently culverted below the A720, A6106 and the Borders Railway (see [Figure 8](#page-28-1) and [Figure 9\)](#page-29-0).

Figure 8 Modified reach of the Dean Burn

Figure 9 A7 road culvert looking downstream

Channel design

The capacity of the channel has been designed to match the existing channel so as not to increase flood risk. This has been tested within the existing 1D-2D ISIS Tuflow hydraulic model (see Appendix to Chapter 6 – A720 Sheriffhall Junction Improvement: Flood Risk Assessment). The planform of the low flow channel has been developed to mimic the tight bends seen in upstream sections, which display morphological diversity and includes riffle features at strategic locations to create the expected features and to ensure no disruption to sediment transport continuity following construction. The width of this low flow channel is approximately 1.5m, within the wider cross section (approx. 5.5m wide). The proposed indicative planform is shown in [Figure 10.](#page-30-0)

Figure 10 Indicative planform of the low flow channel for the Dean Burn

A two stage channel design is proposed, to allow for some enhancement of the watercourse where it has been canalised and straightened historically. This allows for a low flow channel proportioned to convey approximately the 1 in 2 year flow and a wider channel above this to convey higher flood flows. The bed features introduced to the diverted channel would be sustainable within the low flow channel and the flood flows would be contained in the wider channel area.

A typical cross section profile recommended for the Dean Burn realigned reach is shown in [Figure 11](#page-30-1) below.

Riffle and pool sequence

The ability of a watercourse to transport sediment is indicated by the bed shear stress values. This is a function of the water density, water depth and channel slope.

Baseline maximum cross section shear stress values range from 20-78 (N/m²) in a 1:2 year event, 22-93 (N/m²) in a 1:5 year event and 24-103 (N/m²) in a 1:10 year event. This indicates that the watercourse is capable of transporting material up to coarse gravel to fine cobble.

Grain sizes seen in the channel bed and in the banks of the burn upstream indicate that there is a dominance of fine material, with little mobile gravel. Therefore it is anticipated that riffles will become choked with fine sediment input leaving only a coarse surface layer above a matrix of sand and fine gravels. This is not an ideal outcome but is related to the wider management of the Dean Burn system. The channel should be narrowed and deepened slightly between the riffles to increase the diversity.

The recommended design of the pools and riffles proposed is given in [Figure 12](#page-31-0) and [Figure 13.](#page-31-1)

Figure 13 Recommended pool design

Construction would be undertaken by simple placement of appropriate gravel in the required locations and grading to the specified height. The indicative locations of riffles are provided in [Figure 14.](#page-32-0)

Figure 14 Indicative riffle locations on realigned reach of the Dean Burn

Conclusion

The Dean Burn is a modified watercourse, which suffers from high fine sediment input and little active transport of coarse material. The realigned channel design should include features to improve the morphological diversity where possible, while maintaining channel capacity and stability.

The assessment of the existing channel morphology and hydraulic modelling results has allowed recommendation to be made regarding the channel design. These recommendations include the provision of a two stage channel, with a series of riffles and pools designed to withstand flood flows, within a planform mimicking that seen in upstream reaches.

Appendix 11.3 – Flood Risk Assessment

A720 Sheriffhall Junction Improvement

Flood Risk Assessment

05 March 2019

Quality information

Revision History

Distribution List

Prepared for:

Transport Scotland

Prepared by:

AECOM Limited 1 Tanfield Edinburgh EH3 5DA UK

T: +44 131 301 8600 aecom.com

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Figures

Tables

1. Introduction

AECOM was commissioned by Transport Scotland to undertake a Flood Risk Assessment (FRA) as part of the wider A720 Sheriffhall Junction improvements that will feed into the Environmental Impact Assessment (EIA). The Sheriffhall roundabout is located at 331803, 667984 and the proposed development includes a flyover for the A720 bypass, with a roundabout connecting the A roads underneath. The works will also include additional cycle network provision. The study area is shown in [Figure 1](#page-39-0)-1.

The aim of the FRA is to assess the current flood risk to the site from the Dean Burn which runs parallel to the A720, assess the impact of the development (if any), and to develop mitigation options if required to ensure that flood risk is not adversely affected elsewhere as a result of the development.

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Figure 1-1: Site location

2. Data collection and Site Appraisal

2.1 Site description

The Sheriffhall roundabout is located to the south east of Edinburgh and connects the A720 with the A7, Old Dalkeith Road and Millerhill Road. It is surrounded on all sides by open fields and forested areas.

The Dean Burn runs almost parallel to the A720 throughout the duration of the study area before joining the North Esk 1.5km downstream. The route of the watercourse appears to have been modified from natural, although the current alignment can be seen on historic mapping as far back as 1850. The channel is set relatively deep compared to the floodplain, with moderately steep, densely vegetated banks throughout. The bed of the channel is comprised of rocky material of varying sizes with frequent obstructions such as tree roots and terrestrial debris. A mixture of rough grazing and forest upstream of the Old Dalkeith Road is observed on the floodplain, before changing to dense forest as the watercourse runs through Dalkeith Country Park.

The Dean Burn runs through several inline structures in the study area. These include openings under dividing walls, the culverts under the A7, Old Dalkeith Road and Borders Railway line, as well as various smaller footbridge and access crossings in Dalkeith Country Park.

Upstream of the site, the catchment is predominately rural, with a section of the town of Loanhead occupying the northern extent.

Site topography can be seen in Appendix C.

2.2 Site visit

A site walkover was undertaken in August 2018 to establish the general topography and constraints on the Dean Burn, review possible flood flow routes and assess the viability of potential mitigation options should they be required.

The area in and around the Dean Burn was seen to be overgrown and numerous natural channel obstructions, such as tree roots, leaves and branches were observed. Areas of bank erosion, specifically around the wooded areas at the irrigation pond, were seen to result in localised lowering of the banks. The channel was seen to be very irregular, with changes in width between approximately 1 – 5m.

A number of culverts and crossings had blockages which would affect conveyance at higher flows. No blockages were noted at the culverts running under the A7 and Old Dalkeith Road. These culverts were very large and likely oversized.

Photographs can be found in Appendix A.

2.3 Review of flooding

2.3.1 Historic flooding

There were no historic flood records available at the site and surrounding areas. This does not however mean that the area has not experienced flooding in the past.

Whilst ground investigation works were being undertaken as part of the wider project, out of bank flow was observed downstream of the irrigation pond on the left hand bank. This flow was sufficient to halt works although may have been somewhat exacerbated by pumping and operations in the area at the time.

2.3.2 SEPA floodmaps

The most recent SEPA flood risk maps were published in March 2015. The maps cover the whole of Scotland, and provide a strategic level of information on the potential sources and impacts of flooding, including coastal, fluvial, surface water (pluvial) flooding. They also show the Potentially Vulnerable Areas identified by SEPA as part of the flood risk management process under the Flood Risk Management (Scotland) Act 2009. Because the maps have been developed on a national scale, there are limitations in the data used, and they should be viewed as indicative only at local scale.

The fluvial flood maps were developed for all catchments greater than 3 km^2 . Flooding is seen to be fairly well contained to the channel along much of the study reach. The mapping indicates flooding in the woodland around the irrigation pond. Out of bank ponding is also observed in a low lying area on the right hand bank upstream of the A7 culvert. A flow pathway extends across the left hand bank between the A7 and Old Dalkeith Road, with the potential for some localised ponding. Downstream of the Old Dalkeith Road, flow exits the channel and runs along the southern edge of the Borders Railway embankment.

Surface water flood maps are derived from pluvial model results. Pluvial modelling assumes losses due to drainage networks in urban areas. Pluvial flooding is shown across much of the same extent as in the fluvial mapping.

Fluvial and surface water flooding can be viewed on SEPA's website:<http://map.sepa.org.uk/floodmap/map.htm>

2.4 Proposed works

The Sheriffhall roundabout upgrade will include construction of a flyover for the A720, with the remaining roads joining into a roundabout underneath. Sunken further from this, a new cycle and footpath network will be created. The works are classed as 'Essential infrastructure' and SEPA's Flood Risk and Land Use Vulnerability Guidance states that it is generally suitable to develop this land use type in areas at medium to high risk for operational reasons or if an alternative is not available. It is noted that the design should remain operational during floods.

These works will include road realignments and elevation changes, creation of new foot and cycle paths, and widening of the existing A720 and associated embankments to account for the flyover. The design also includes several SuDS features, to treat and attenuate the increased hardstanding, which have spatial constraints relating to tie in levels and discharge locations.

Where possible, and using an iterative approach, the layout has been designed to avoid the functional floodplain. However, there are areas where this is not possible.

Mitigation measures may be required if the scheme is seen to affect flood risk out with the site.

3. Hydrology

3.1 Methodology overview

The Flood Estimation Handbook (FEH) gives guidance on rainfall and river flood frequency estimation in the UK and also provides methods for assessing the rarity of notable rainfalls or floods. A number of methods of flood estimation are presented, including the FEH statistical method and the FEH rainfall-runoff method. Subsequent publications have presented the ReFH and ReFH 2 rainfall-runoff method, updating the FEH rainfall-runoff method.

The statistical method relies on deriving a representative growth curve for the subject site from a pooled group of hydrologically similar catchments for which there is gauging information. This means that the accuracy of the method and resulting flow estimate depends on there being a sufficient number of similar catchments contained in the gauging station database. The method assumes that the flood statistics within the periods of record in the pooling group are representative of the flooding regime in the future, i.e. that the data is stationary. However, the method is based on actual observed flood data, and is therefore considered to be more robust than the more conceptual rainfall-runoff methods.

The statistical method consists of two parts; estimation of the median annual flood (QMED), i.e. the flood event with an annual exceedance probability of 50% (1 in 2 year return period), and the derivation of a pooled or singlesite growth curve. The growth curve is then multiplied by the QMED estimate to provide a flood frequency curve for the subject site.

The best estimate of QMED is determined using flood data at the site if such local data exists. Alternatively if no such data exists, QMED can be estimated from FEH catchment descriptors and improved by data transfer from a suitably hydrologically similar donor gauge.

WINFAP-FEH is a software tool that supports the statistical flood frequency estimation methods as presented in Volume 3 of the FEH. It provides single-site and pooled group methods of frequency analysis based on annual maxima data from a database of gauged catchments. WINFAP-FEH files (Version 6) gauging station data was used to generate a hydrologically similar pooling group of sites using WINFAP-FEH v4 software. The database contains the annual maximum (AMAX) series data for each station in the database giving AMAX series up to and including the 2015 water year for the majority of UK gauges. Each station within the pooling group was checked and found to have AMAX series up to and including the 2015 water year. Similarity is judged using a distance measure derived from the difference in floodplain extent (FPEXT), rainfall (SAAR) and catchment area (AREA) between the subject site and the gauging station sites. The total data record from the resulting group should amount to around 500 years of data as recommended in *Science Report SC050050*. The pooling group is then used to predict a growth curve, which is combined with the index flood QMED to provide flow estimates for flood events of varying severity.

The FEH rainfall-runoff method has been updated with the ReFH2 rainfall-runoff method. This method utilised catchment specific descriptors to assess catchment functioning and runoff. ReFH2 is now accepted by SEPA as it incorporates a larger Scottish dataset, includes more small-catchment data, utilises the most up to date 2013 rainfall DDF (Depth, Duration, Frequency) model data and incorporates an improved method for assessing urban losses.

Given the size of the catchment, 6.2km², both the statistical and ReFH2 methods were undertaken for a comparison before finalising the choice of method. Flow estimates for the Dean Burn catchment were determined for the total catchment at the downstream extent of the study area for input into the hydraulic model.

3.2 FEH catchment characteristics

3.2.1 Standard updates

Catchment characteristics for the Dean Burn study area were extracted from the FEH Web-Service and are presented in [Table 3](#page-44-0)-1. These values were updated where appropriate. The area of the catchment was modified slightly from the delineation provided by the FEH Web-service to account for differences in terrain identified using LiDAR. The original area of the catchment was 6.99 km². [Figure 3](#page-43-0)-1 displays the FEH default and the amended

catchment area. DPLBAR was updated due to the change of catchment area as the two are inherently linked. URBEXT was also uplifted to current day.

Figure 3-1: Catchment delineations

3.2.2 BFIHOST update

The BFIHOST value obtained from the FEH download was unusually high for this area. Whilst this FEH value is based on geological and soil maps and should therefore give a relatively accurate indication of catchment responsiveness, it was felt, that after correspondence with SEPA as well as further investigation, that this value should be reduced to tie in with the surrounding catchments.

The Dean Burn catchment is not gauged and therefore is not represented in the Institute of Hydrology's Base Flow Index map of Scotland. The surrounding catchment's BFI values ranged from 0.4 -0.64.

Bedrock and superficial deposit maps were then considered to determine the most similar local gauged catchment to the Dean Burn as a catchment with similar underlying geology is likely to have a similar BFI. The Dean Burn catchment bedrock consists of sedimentary rock, largely limestone, with superficial deposits of sedimentary glaciofluvial and till.

The local catchments with lower BFI values around 0.4 were seen to extend over areas of igneous bedrock with minimal superficial deposits, making them relatively impermeable. These catchments are unlikely to react to rainfall in the same manner as the Dean Burn catchment and were discounted from this assessment.

The bed rock and superficial deposits of the North Esk catchment were consistent with the Dean Burn catchment. The Dean Burn also flows into the North Esk and the BFI for this watercourse is between 0.5-0.54. The default Dean Burn BFIHOST value of 0.719 was updated to 0.52 based on its similarity to the North Esk catchment underlying geology.

3.2.3 SPRHOST update

Given the inherent link between BFIHOST and runoff, the SPRHOST value was also updated. This was done by applying the following equation from the Flood Estimation Handbook, vol3:

$$
RESHOST = BFIHOST + 1.30\left(\frac{SPRHOST}{100}\right) - 0.987
$$

Table 3-1: FEH Catchment Parameters for Dean Burn at Downstream Extent of Subject Site

3.3 Statistical analysis

Statistical analysis was undertaken on the Dean Burn to establish peak flow estimates. Given the size of the catchment, there are inherent uncertainties using this method as there are only a small number of similar small catchments in the data set.

3.3.1 QMED estimation

QMED is defined as the median annual flood, i.e. the flood event with an annual exceedance probability (AEP) of 50% (1 in 2 year return period).

With no gauged data at the upstream extent of the model, the FEH recommended method to derive QMED is by data transfer from a hydrologically similar donor catchment. This donor transfer was carried out within the WIN-FAP v4 software, using both the multiple station method and the single station method. The single site donor urbanised adjustment method has been selected as the preferred method because the single donor catchment was very similar to the Dean Burn and therefore a strong candidate. The donor catchment used is the West Peffer Burn @ Luffness. Details of the donor selection can be found in Appendix B. Transferred QMED values are shown in [Table 3](#page-45-0)-2.

Table 3-2- QMED Donor Adjustment

3.3.2 Pooled growth curve

The resulting default pooling group was then reviewed in WINFAP and a number of adjustments were made. This mainly comprised of removing sites with short records, low BFIHOST values and poor suitability comments. Details of the default and reviewed pooling groups are included in Appendix B.

The best fitting statistical distribution was the GL (Generalised Logistic), and the heterogeneity measure H₂ was 1.86, possibly heterogeneous.

[Table 3](#page-45-1)-3 shows the flood frequency curve for the Dean Burn using the pooling group.

Table 3-3-Growth Curve and Flood Frequency Curve for Dean Burn

3.4 ReFH2

The Revitalised Flood Hydrograph Method 2 (ReFH2) was undertaken as a comparison to the flow estimates generated using the Statistical method. ReFH2 is now accepted by SEPA and is suitable to use on a catchment of this size. This ReFH2 method has also included improvements on how permeable catchments are considered.

Catchment descriptors and delineations were obtained from the FEH Web Service and updated as set out in Section 3.2. ReFH2 software, using the winter storm profile given the rural nature of the catchment, was used. Peak flows from the analysis are shown in [Table 3](#page-46-0)-4.

Table 3-4: ReFH2 Peak Flow Results

3.5 Method selection

The REFH2 results gave higher peak flow estimates than the Statistical method, which is conservative. Due to the small size of the Dean Burn catchment, it is also difficult to find similar sized gauged catchments for use in the statistical method, which reduces certainty in the results. For these reasons, the REFH2 method was used for establishing peak flows in the Dean Burn.

The standard ReFH2 derived hydrograph was used as there was no gauged data on the watercourse to be used to generate an alternative.

[Table 3](#page-47-0)-5 displays the comparison of the Statistical analysis and ReFH2 peak flow estimates.

Table 3-5- Summary of peak flows for the statistical and ReFH2 method

3.6 Climate change

The United Kingdom Climate Projections 2018 (UKCP18) dataset was published in December 2018 and outlines updated probabilistic projections of climate change impact for the 2020's, 2050's and 2080's based on various emissions scenarios and probability percentiles. United Kingdom Climate Projections (UKCP09¹) is a previous version of the projections and since little guidance has been provided on how these new 2018 uplifts in rainfall relate to increases in flow, the UKCP09 flow uplifts are still considered to be the most appropriate.

Outlined in their Flood Modelling Guidance for Responsible Authorities, SEPA commissioned CEH to undertake a study assessing Scottish catchments vulnerability to climate change. Within this study the UKCP09 projections were run through models to provide flow uplift for hydraulic basins. This provides a more accurate representation of the increase to fluvial flows.

Based on the medium emission scenario 2080s, 50th percentile, the study area is reported to have a 21% change in flood peak as shown in table 10-1 of the SEPA Flood Modelling guidance. For the purpose of this flood study, a 21% uplift has been adopted for climate change scenario to come in line with SEPA's Technical Flood Risk Guidance. The medium emission scenario 2080, 90th percentile change in flood peak will also be applied to the modelling to assess sensitivity. This is reported to be 40% for the study area.

 \overline{a} ¹ UK Climate Projections, *United Kingdom Climate Projections (UKCP09) Rainfall Maps*, June 2009

4. Hydraulic Modelling

4.1 Baseline model build

A single hydraulic model has been constructed for the Dean Burn and surrounding areas at Sheriffhall consisting of a one dimensional element representing the river channel built in Flood Modeller and a two dimensional element representing the floodplain constructed in Tuflow.

4.1.1 One dimensional channel model

A one dimensional model of the Dean Burn was constructed using surveyed cross sections and inline structures. Details of the sections and structures can be found in Appendix C. The survey was undertaken as part of this study and was used to represent the channel geometry and to define the top of bank. The model consists of 50 surveyed river sections, 2 bridges, and 4 culverts. The openings under the dividing wall upstream of the A7 were not surveyed but were included in the model based on photographs. All structures in the modelled reach were included in the model with trash screens applied where appropriate. When the downstream section of the bridge was not surveyed, a copy of the upstream face was used. During the baseline model runs, all structures were assumed to be clear of obstruction. No changes to default structure parameters were made.

The modelled reach extends from the A722 at Burndale to 500m downstream of the Old Dalkeith Road. Section labelling around the site can be seen in [Figure 4](#page-48-0)-1 and will be referenced later in the report to describe flood risk at certain locations. A full schematisation of the river sections and 2D domain can be seen in Appendix C.

Figure 4-1: Cross section labels around the site

The inflow hydrograph, as calculated in Section 3.5, was applied at the upstream extent of the model. The downstream boundary was represented as a normal depth boundary, based on channel bed gradient, to allow flow to leave the model. A normal depth boundary was deemed appropriate as the influence of the River North Esk would not affect water levels at the site of interest due to the steep nature of the channel. The normal depth boundary in its location further upstream from the North Esk also did not affect water levels at the site.

Channel and bank Manning's 'n' roughness values were selected based on photographs and the site visit. The channel is predominantly natural along its reach. Due to the weedy stony nature of the channel, bed roughness was set between 0.045 and 0.05. Only minor sections of floodplain and banks were represented in the 1D model as the majority of the top of bank was represented in the 2D domain. Intermittent long grass banks were set at 0.04 and denser vegetated banks set at 0.045.

4.1.2 Two dimensional floodplain model

The 1D channel model was linked to a 2D domain (ground surface model) so that the overland flood mechanisms could be assessed. The 2D hydraulic model contained the following elements:

- Ground surface using 1m LiDAR Digital Terrain Model (DTM);
- Topography modifications where LiDAR does not accurately represent the ground surface primarily between the A7 and A6106;
- 1D/2D links to allow free flow between the river channel and floodplain based on surveyed top of bank elevations. Downstream of Old Dalkeith Road, top of bank was not surveyed and is based on LiDAR;
- Roughness layer depicting different surfaces based on OS Mastermap data representing buildings (n = 0.5), roads (n= 0.02), fields (n=0.045), wooded areas (n=0.1) and water (n=0.03). A default roughness of 0.06 was applied to all other surfaces to represent short to medium grass contained by fences and hedges:
- Downstream boundary Automatic HQ (head/flow) boundary applied to the downstream extent of the floodplain to allow water to escape the domain and not cause artificial ponding;
- The LiDAR was found to adequately represent the road and rail embankments and no modifications to topography were made;
- A field diving wall was added into the 2D domain as a z-line in the upstream section of the model and was assumed to remain standing. The Dean Burn flows under this wall at 3 locations and these openings were represented by orifice units based on site photographs.

4.1.3 Model run parameters

The 2D domain was set at a 2m grid size so that the channel and flow pathways could be accurately represented.

The model was run using a 0.5 second 1D timestep and a 1 second 2D timestep, with no changes to default run parameters. The 1D/2D model was run unsteady, i.e. time varying flow, for the required return periods set out in Section 3. This allowed for the flood progression to be fully assessed in both the 1D channel and the 2D floodplain.

4.2 Scheme model build

Where possible, and using an iterative approach, the layout has been designed to avoid the functional floodplain identified in the baseline modelling. However, there are areas where this is not possible due to topographic or space constraints. A drawing displaying the proposed scheme is shown in Appendix C.

The proposed development was modelled to determine how the design affected flood risk when compared to the baseline scenario. This run contains no mitigation measures and is to be used purely to assess how the proposed roundabout upgrades affect flood risk in the surrounding area.

To form the with scheme model, the following modifications were undertaken to the baseline model:

- Sections of the proposed development were stamped onto the LiDAR in areas where the design encroached into the flood extent. The existing ground levels within the footprint of the road embankments were raised to account for the changes in levels associated with the development as this could affect floodplain storage and flood mechanism. Raising levels from the toe of the embankment is slightly conservative;
- The area where SuDS ponds was to be located were also raised in the 2D domain as flood water would no longer be able to enter (due to insufficient capacity for river flows) and would therefore be removed from the functional floodplain;
- The culvert under the A7 was lengthened to accommodate the additional footpath and road realignment.

[Figure 4](#page-50-0)-2 displays the locations of the main DTM modifications made during the with scheme modelling

Figure 4-2: Notable areas of DTM modifications due to design within functional floodplain

4.3 Mitigation assessment

4.3.1 Requirement for mitigation

It is best practice to avoid land raising in the function floodplain. This approach has been applied throughout the design process, however in some cases it has not been possible to avoid development within sections of the functional floodplain. In these instances, outlined below, land raising will need to be mitigated up to the 1 in 200yr design level to ensure no detrimental flood risk.

The main SuDS pond between the A7 and A6106 is to provide a large percentage of the attenuation and treatment requirements of the proposed works and therefore has significant area requirements. Additional constraints such as the Dean Burn and the local topography, which affects where ponds can be located due to network outfalls and discharge locations generally requiring gravity, areas where this large pond could be located were limited. Smaller ponds to the north are used earlier in an event to stagger the peak storms and provide additional storage, however the large pond had to be located in the area between the A7 and A6106. This area is seen to flood and will require compensatory storage to mitigate this loss.

Small sections of the A720 approach road embankments are proposed to run very close to the Dean Burn, in an area of floodplain that is also seen to flood during the baseline scenario. This embankment and approach road is constrained by maximum gradients along the road and also maximum side slopes on the embankment. Given the proximity of the embankment toe to the watercourse, and the potential erosion issues this could cause, it is proposed that the burn be diverted 40m to the south. Realignment of this burn provides an opportunity for river restoration along a reach that is currently canalised and overly deep. The channel would be installed as a restored 2 stage meandering channel created to improve watercourse function and habitat. Compensatory storage will also be provided to account for the loss of floodplain storage. Further details of the design of this diverted stretch of watercourse can be found in the technical note 'A720 Sheriffhall Junction Improvement: Dean Burn diversion hydromorphology design' and [Figure 5](#page-59-0)-2.

SEPA's Technical Flood Risk Guidance for Stakeholders, 2018 outlines a recommended approach for providing compensatory storage if functional floodplain is lost elsewhere as a result of the development. It is noted in this guidance that development within the functional floodplain should be avoided but in certain cases it can be

appropriate if compensatory storage is provided so that the development does not affect the ability of the functional flooding to store and convey water. This guidance recommends that 'like for like' direct storage is the preferred option for mitigating land raising, with a modelling approach potentially being suitable if it is shown that 'like for like' is not a suitable method.

The 'like for like' method is applicable where only floodplain capacity is lost and does not take into account a loss in floodplain flow pathway or if the watercourse alignment is altered. To mitigate against a loss of floodplain that contains a flow pathway, and to assess storage loss as a result of watercourse diversion, modelling must be undertaken to assess the relative change in floodplain volume over a range of return periods.

4.3.2 Mitigation assessment and modelling

4.3.2.1 Like for like slice calculations

A total of three areas will be removed from the functional floodplain as a result of the scheme and are shown in [Figure 4](#page-51-0)-3.

It is SEPA's preferred method to use a 'like for like' slice approach and each of the areas was assessed to determine whether this approach was suitable.

It was found that the loss of floodplain in areas 1 and 2 was attributed to the channel diversion and that a 'like for like' slice assessment would not be appropriate in these areas due to the significant change in bed and bank levels of the realigned channel. Matching volume at each elevation slice would be unlikely to provide the same flood mechanism given the significant change in topography.

It was also found that due to the flow pathway running through area 3 that a 'like for like' slice assessment would also not be appropriate as it would underestimate the floodplain loss downstream caused by cutting of the flow pathway. Matching volume at each elevation on the opposite bank would not take into account the area to the south and may result in a net loss of storage.

Hydraulic modelling was therefore deemed the most appropriate way to determine compensatory storage requirements.

Figure 4-3: Areas that will be removed from the function floodplain

4.3.2.2 Hydraulic modelling of compensatory storage

Modelling was undertaken for all areas shown i[n Figure 4](#page-51-0)-3 to ensure that the compensatory storage was adequate, and that it replicated the baseline flood mechanism as closely as possible.

The compensatory storage requirements at the Dean Burn diversion, upstream of the A7 is complex as the river channel will be significantly altered from its original state. The change in alignment, long section and cross section means that the loss and provision of storage must be assessed using the hydraulic model as providing like for like storage is unlikely to adequately account for the changes in the floodplain and channel as elevations have changed significantly.

The compensatory storage at the SuDS pond will also be assessed using the hydraulic model as removing the SuDS pond from the functional floodplain will cut off a flow pathway which currently feeds an area of floodplain that will remain unaltered. Like for like calculations could therefore potentially underestimate the required storage as it would not take into account the flow mechanism and area of unaltered floodplain to the south.

To assess the compensatory storage requirements using the hydraulic model, the LiDAR was lowered along the meander of the realigned channel on both banks as well as in the area on the opposite bank of the main SuDS pond to mimic the lost floodplain storage in all areas. Given the flow path across the left hand bank at the SuDS pond will be cut off, minor lowering of the left bank to the south of the pond was also undertaken to allow flow into this area at the same time in the event as the baseline. The time in the event that spill occurs was matched with the baseline model run to ensure this new area of storage acted as similarly to the baseline scenario as possible. Ensuring that spill occurs at the same time in the baseline and with scheme scenarios means that floodplain storage will not be used too early or late in an event.

The sharp right angle bend in the channel is unnatural and acts as a constriction in the channel. This angle was smoothed in the model to provide an added river functioning benefit as well as less of a constriction to the SuDS pond.

An iterative approach was then undertaken that involved changing bank levels and modifying the elevation and size of the LiDAR modifications until the modelled compensatory storage provided increased attenuation when compared to the baseline. This ensures that like for like is provided based on magnitude of event and total floodplain volume.

4.4 Sensitivity testing

Sensitivity checks were carried out on the hydraulic model parameters where they were considered to be inherently uncertain to explore the effect on model results.

The aim is to understand the range of model results that could be obtained with variation of these parameters. The intention is not to evaluate an accuracy range or otherwise quantify uncertainty; but to give an indication of the influence certain parameters have and identify if there are significant or disproportionate influences.

In line with SEPA guidance, the model parameters tested were:

- Flow.
- Manning's Roughness,
- Structure Blockages.

Sensitivity to changes in the downstream boundary was not tested as the downstream extent of the model was 500m downstream, with bed levels 6m lower than at the site. It was deemed sufficiently far downstream that the downstream boundary did not influence levels at the site.

4.4.1 Flow

Model sensitivity to flow was tested with a 40% increase for the 1 in 10yr and 1 in 200yr events. This uplift corresponded to the medium emission scenario 2080, $90th$ percentile change in flood peak.

Tabulated results with changes to channel water elevations at various locations are displayed in Table 1 Appendix D.

Increasing the flow by 40% increased the 1 in 10yr channel water levels by a maximum of 260mm and the 1 in 200yr channel water levels by up to 600mm. These maximum increases are observed immediately upstream of the A7 culvert. Increases in levels are experienced to varying degrees along the length of the modelled reach with the smallest increases being 70mm and 90mm for the 1 in 10yr and 1 in 200yr respectively.

During the 1 in 10yr event, out of bank flow observed in the area upstream of the A7 culvert on the left hand bank is seen to increase. Depths increases in this area reach a maximum of 250mm. Additional flooding that was not observed in the baseline scenario is also observed on the left hand bank between the A7 and the A6106. Flooding locations and extents are seen to increase with a 40% uplift in flow during the 1 in 200yr event. Depths are seen to increase by up to 600mm in the area upstream of the A7 culvert. The area of flooding between the A7 and Old Dalkeith Road has smaller increases in floodplain depths in the order of 200-300mm.

Channel water levels are seen to increase as a result of an uplift in flow. However, it should be noted that location and overall extent of flooding remains comparable, particularly in the lower return periods. Whilst the increases in floodplain depths are not insignificant, the flows used in the baseline model are deemed to be appropriate as they are based on best practice methodologies.

4.4.2 Manning's Roughness

In line with SEPA's modelling guidance Manning's 'n' roughness was increased by 40% in both the 1D channel and 2D floodplain for the 1 in 10yr and 1 in 200yr events.

Tabulated results with changes to channel water elevations at various locations are displayed in Table 1 Appendix D.

Increasing the roughness by 40% increased the 1 in 10yr channel water levels by a maximum of 140mm and the 1 in 200yr channel water levels by up to 440mm. These maximum increases are observed around the A6106 culvert. Increases in levels are experienced to varying degrees along the length of the modelled reach with the smallest increases being 10mm and 25mm for the 1 in 10yr and 1 in 200yr respectively.

During the 1 in 10yr event, very minimal increases to flood extent are observed upstream of the A7. Increases to depths in this area reach a maximum of 25mm. Additional flooding is observed on the left hand bank between the A7 and the A6106. Flooding locations are not seen to change markedly with a 40% uplift in roughness during the 1 in 200yr event. Depths and extents are seen to increase by up to 40mm in the area upstream of the A7 culvert. The area of flooding between the A7 and Old Dalkeith Road has larger increases in floodplain depths in the order of 100-200mm.

Channel water levels are seen to increase as a result of an increase in roughness. The increases are more apparent in the 1 in 200yr event, where extents are increased be approximately 12m in the area between the A7 and A6106. However, the roughness values used in the baseline model are deemed to be appropriate based on channel type and geometry and an increase roughness of 40% would be expected to result in significant uplifts.

4.4.3 Blockages

Blockage scenarios were tested for the1 in 200yr event to assess the impacts on flooding should a structure become partially blocked during a flood event. A total of 3 structures [\(Figure 4](#page-54-0)-4) were identified around the site as having the potential to cause increased flooding if they became partially blocked. There were no anecdotal accounts of any blockages at any of the structures. On the site visit undertaken as part of this survey, no blockages were observed in structures 1 and 2 and both were considered to be oversized and unlikely to block significantly. Structure 3 is a much smaller structure and the inlet was seen to be partially blocked by small branches and leaves. Using a conservative approach, all structures were modelled in separate simulations as partially blocked to 50% of the flow area by reducing the cross sectional area accordingly.

Figure 4-4: Blockage locations

Each blockage scenario was run separately and tabulated results with changes to channel water elevations at various locations are displayed in Table 2 Appendix D.

Decreasing the cross sectional area separately at the 3 structures increased channel water levels by 500mm, 300mm and 600mm for blockage scenarios 1, 2 and 3 respectively.

During blockage scenario 1, in channel water levels were increased by approximately 500mm upstream of the A7 culvert. Floodplain depths and extents were also increased in this location on both banks by approximately 500mm. Out with this area, no other increases to depths are shown. Due to additional flow being held upstream of the A7, out of bank spill is seen to decrease upstream of Old Dalkeith Road by approximately 100mm. The backwater effect is experienced approximately 200m upstream of the culvert inlet. Blockage of this culvert is seen to increase levels near the A7 but does not cause flooding on the carriageway. Due to the size of the culvert, it is also very unlikely that a 50% blockage would occur.

During blockage scenario 2, in channel water levels were increased by approximately 300mm upstream of Old Dalkeith Road culvert. Floodplain depths and extents were also increased in this location on both banks by approximately 300mm. Out with this area, no other increases to depths are shown. The backwater effect is experienced approximately 220m upstream of the culvert inlet. Blockage of this culvert is seen to increase levels near the A6106 but does not cause flooding on the carriageway which is significantly higher.

During blockage scenario 3, in channel water levels were increased by up to 600mm in the area between Old Dalkeith and the railway embankment. The floodplain in this location did not previously flood. During the blockage scenario, depths and extents increased in this location on both banks by approximately 300mm. Flood depths and extents were also seen to have increased upstream of Old Dalkeith Road by approximately 400mm. The backwater effect is experienced approximately 250m upstream of the culvert inlet. Blockage of this culvert is seen to increase levels near the A6106 but does not cause flooding on the carriageway which is significantly higher.

From this testing, it can be seen that increases in channel flood depths are apparent in all blockage scenarios. Floodplain depths and extents are also seen to increase. The increases observed during blockage scenario 1 are not however seen to affect key receptors. The increases during scenario 2 and 3 have the potential to affect flooding around the SuDS ponds. Increased levels as a result of blockage do not cause flooding on either of the carriageways.

This sensitivity analysis is not an analysis on the likelihood of blockage, but an assessment of the severity of flooding impacts should a blockage occur at a particular structure. Identifying the structures where blockage may result in increased flooding, is useful for identifying structures that would benefit from either extra maintenance or additions such as trash screens.

It is recommended that structures are regularly monitored and maintained.

5. Results

5.1 Baseline

During the 1 in 200yr plus climate change event, flooding first occurs on the right hand bank, immediately upstream of the A7 culvert with out of bank flow spreading into a low lying area. Flood depths reach a maximum of 1.65m in this area. As the event progresses, flooding occurs at section 20, downstream of the irrigation pond on the left hand bank. Flood waters travel along the floodplain, parallel to the watercourse, to depths ranging from 50 - 200mm, before re-entering the channel at section 22. At the same time, a flow pathway occurs across the left hand bank between section 32 and 37, equating to 0.4 m^3 /s at its peak.

Flow exits the channel at section 32 and spreads across the floodplain. The majority of the out of bank spill reenters the channel between sections 36 and 38. Maximum flood depths in this area reach 280mm. At approximately the same time, flow spills out of bank on the left hand bank upstream of the A7 and the right hand bank upstream of the A6106. Flood depths reach a maximum of 900mm and 500mm upstream of the A7 and A6106 respectively. The flooding extent for this event can be seen in [Figure 5](#page-57-0)-1. Minor flooding is observed on the right hand bank immediately downstream of the A6106 to depths of 300mm.

The remainder of the flow is contained within channel. No out of bank spill is observed downstream of the access track leading onto Old Dalkeith Road.

The A7 and the A6106 were not seen to be at flood risk during a 1 in 200yr plus climate change event.

The flood mechanism is largely replicated in lower return periods, with the first instance of spill at the various locations noted above occurring at different return periods. Flooding is observed in the area upstream of the A7 from the 1 in 5yr event. The onset of flooding for the area around section 20 and flow pathway at section 32 starts from the 1 in 30yr event.

Although there is no historic flood accounts in this rural area, the baseline flood maps tie in well with SEPA flood mapping as also with observed flow routes on site during GI investigations.

A full range of baseline floodmaps for all modelled return periods, with and without climate change, can be found in Appendix E.

Maximum stage in the 1D channel for a range of return periods can also be found in Table 3 Appendix D.

Figure 5-1: 1 in 200yr plus climate change flood depths

5.2 With Scheme

The with scheme model results demonstrates that without mitigation, the proposed scheme has a negative impact on flood risk as a result of loss of floodplain and flow area and is therefore not in line with planning policy.

Floodplain depths upstream of the A7 and the A6106 were increased by approximately 5- 10mm during the 1 in 200yr event and 5-10mm in the 1 in 200yr plus climate change event. Pass forward flows were increased by 0.03m³/s and 0.025m³/s through the A6106 culvert for the 1 in 200yr and 1 in 200yr plus climate change events respectively.

[Table 5](#page-58-0)-1 displays the changes in channel water levels at a selection of sections for the 1 in 200yr event. A maximum increase in water level of 50mm is noted at section 32 where out of bank flow originally occurred in the baseline model but is blocked within the scheme model to account for the SuDS pond.

Table 5-1: Channel water levels with and without scheme during the 1 in 200yr event.

* This decrease related to change in node location and does not represent an actual decrease in water level

The scheme results in a loss of floodplain storage as a result of land raising. The modelling has demonstrated that the proposed scheme increases water levels and pass forward flows and requires mitigation measures to ensure the design complies with planning policy.

5.3 Compensatory storage modelling

5.3.1 Floodplain volumes

The total floodplain volumes at the 2 sections at the realigned channel and on the opposite bank to the SuDS pond were calculated for the baseline and with scheme scenario. The areas of floodplain loss and proposed compensatory storage locations are shown in [Figure 5](#page-59-0)-2. Full details of the land reprofiling are presented in Appendix F.

The proposed compensatory storage for each areas was provided in locations as close as possible to the floodplain loss from the baseline. Due to the river realignment, area 2 is not seen to flood in the with scheme scenario and the compensatory storage has been provided in the same location, with flow filling the area from east. As stated in previous chapters, ground levels were lowered in a manner to ensure that the timings of spill were replicated as closely as possible to the baseline.

Calculating the floodplain volumes for the baseline and with scheme scenarios ensures that at each return period, there is equal to or increased storage from the baseline in the with scheme scenario. Incremental increases between return periods for baseline and with scheme were also calculated. [Table 5](#page-59-1)-2 displays the floodplain volumes in the baseline and with scheme scenarios for the full range of return periods. It is demonstrated that with scheme floodplain volume storage has been increased at every return period from baseline volumes, and that the incremental increases between return periods is also increased. This demonstrates like for like floodplain storage volume at all return periods.

Figure 5-2: Floodplain loss and proposed compensatory storage locations

Table 5-2: Baseline and with scheme floodplain volumes

5.3.2 Impact of Compensatory storage on levels and flow

As well as demonstrating increases storage volumes on the floodplain, the mitigation measures are seen to decrease channel water levels. [Table 5](#page-60-0)-3 displays the top water levels for the 1 in 30yr and 1 in 200yr events. The increases observed at sections 18-22 are as a result of the channel diversion as bed levels and channel cross section have been altered significantly and top water levels between the two scenarios are therefore not comparable. All other river sections display a neutral impact or reduction in water level of between 10-50mm with the mitigation measures in place.

Flood risk to the carriageways was also assessed to ensure they could remain operational during a 1 in 200yr plus climate change event and that they had freeboard to account for any uncertainty.

Flood risk to the two carriageways that cross the Dean Burn was also assessed. The A7 southbound will be lowered from its original elevation and realigned in some locations. The lowest level on the carriageway is 60.45mAOD, which is 500mm above the 1 in 200yr plus climate change flood level in the floodplain upstream of culvert. The A6106 is proposed to remain raised on an embankment, with the lowest level on the carriageway being 59.7mAOD, which is 2.5m above the 1 in 200yr plus climate change flood level upstream of culvert. It is therefore shown that both the A7 and the A6106 can remain operational during a 1 in 200yr plus climate change flood event and that freeboard is provided to account for any uncertainty.

Full tabulated model results can be found in Appendix D. Floodmaps for the 1in 30yr and 1 in 200yr event can be found in Appendix E.

Table 5-3: Baseline and with scheme change in top water levels

* These increases are a result of the channel realignment where both section location and elevation have changed

To fully assess the effectiveness of the compensatory storage provided, pass forward flows were also assessed to ensure the scheme has no negative impact on flood risk downstream. [Table 5](#page-61-0)-4 displays the peak flows through the A7 and the A6106 culverts. In all return periods, flow is seen to either be equal to or less than the

baseline, representing a neutral or betterment to pass forward flows. Although compensatory storage is only required to be provided up to the 1 in 200yr design event, it can be seen in [Table 5](#page-61-0)-4 that pass forward flow in the 1 in 200yr plus climate change event is also reduced from baseline.

Maximum stage in the 1D channel for a range of return periods can also be found in Table 4 Appendix D.

Table 5-4: Flows at the A7 and A6106 culverts – baseline and with scheme

As well as matching peak flows, it is also important that the full hydrographs are similar in the baseline and with scheme scenarios to demonstrate that floodplain storage is storing and conveying flood waters in a similar manner. [Figure 5](#page-61-1)-3 displays the 1 in 200yr baseline and with scheme hydrograph upstream of the A6106 culvert. The hydrographs are seen to be very similar on the rising and receding limbs, with the main variation around the peak due to the increase in floodplain storage provided as part of the scheme design.

Figure 5-3: 1 in 200yr hydrograph – baseline and with scheme at downstream end of scheme extent

Using the hydraulic model, it has been demonstrated that the proposed compensatory storage delivers increased floodplain volume, decreased top water levels and decreased or matched peak flows at all return period.

6. Conclusion

6.1 Introduction

AECOM was commissioned by Transport Scotland to undertake a Flood Risk Assessment (FRA) as part of the wider A720 Sheriffhall Junction improvements that will feed into the Environmental Impact Assessment (EIA). The Sheriffhall roundabout is located to the south of Edinburgh and the proposed development includes a flyover for the A720 bypass, with a roundabout connecting the A roads underneath and cycle network provision. The design also includes several SuDS features, to treat and attenuate the increased hardstanding.

The works are classed as 'Essential infrastructure' and SEPA's Flood Risk and Land Use Vulnerability Guidance states that it is generally suitable to develop this land use type in areas at medium to high risk for operational reasons or if an alternative is not available. It is noted that the design should remain operational during floods.

The aim of the FRA is to assess the current flood risk to the site from the Dean Burn which runs parallel to the A720, assess the impact of the development (if any), and to develop mitigation options if required to ensure that flood risk is not adversely affected elsewhere as a result of the development.

6.2 Baseline flood risk

Out of bank flow is seen to occur from the 1 in 5yr event upstream of the A7 culvert during current day conditions. Flood extent is seen to increase in this area as event magnitude increases, with maximum flood depths in the 1 in 200yr event plus climate change event of 1.65m.

Other notable areas of out of bank spill are located on the left hand bank downstream of the irrigation pond and across the left hand bank between the A7 and Old Dalkeith Road. The spill in these locations begins from the 1 in 30yr event. Flood depths are shallower in these locations, in the order of 200-300mm during a 1 in 200yr plus climate change event.

Later in the event, further spill is observed on the left bank upstream of the A7 and the right bank upstream of the A6106 to depths of approximately 900mm and 500mm respectively.

The A7 and A6106 carriageways were shown to not be at risk during a 1 in 200yr plus climate change event.

6.3 Proposed scheme flood risk

Where possible, and using an iterative approach, the layout has been designed to avoid the functional floodplain identified in the baseline modelling. However, there are areas where this is not possible due to topographic or space constraints.

The proposed development was modelled to determine how the design affected flood risk when compared to the baseline scenario. This run contains no mitigation measures and is to be used purely to assess how the proposed roundabout upgrades affect flood risk in the surrounding area.

The with scheme model demonstrates that without mitigation, the proposed development has a negative impact on flood risk out with the site and is therefore not in line with planning policy.

Floodplain depths upstream of the A7 and the A6106 were increased by approximately 5- 10mm during the 1 in 200yr event and 5-10mm in the 1 in 200yr plus climate change event. Pass forward flows were increased by 0.03m³/s and 0.025m³/s through the A6106 culvert for the 1 in 200yr and 1 in 200yr plus climate change events respectively.

The modelling of scheme demonstrated that the proposals increase water levels and pass forward flows and will require mitigation measures to ensure the design complies with planning policy.

6.4 Mitigation measures

6.4.1 Requirements

It is best practice to avoid land raising in the function floodplain. This approach has been applied throughout the design process, however in some cases it has not been possible to avoid development within sections of the functional floodplain.

The areas where it has not been possible to remove scheme elements from the function floodplain are along a stretch of the Dean Burn that runs close to the A720 embankment and an area between the A7 and the A6106 that is required for a SuDS pond. The with scheme model has demonstrated that removing these areas from the floodplain negatively affects flood risk and land raising will need to be mitigated up to the 1 in 200yr design level to ensure no detrimental flood risk.

SEPA's Technical Flood Risk Guidance for Stakeholders, 2018 outlines a recommended approach for mitigating land raising by providing compensatory storage elsewhere. It is noted in this guidance that development within the functional floodplain should be avoided but in certain cases it can be appropriate if compensatory storage is provided so that the development does not affect the ability of the functional flooding to store and convey water.

In addition to providing compensatory storage, two further mitigation measures are suggested on the Dean Burn. It is proposed that the Dean Burn be realigned upstream of the A7 given the proximity of the embankment toe to the watercourse, and the potential erosion issues this could cause. It is proposed that the burn be diverted 40m to the south. Realignment of this burn provides an opportunity for river restoration along a reach that is currently canalised and overly deep. The channel would be installed as a restored 2 stage meandering channel created to improve watercourse function and habitat. A further channel improvement between the A7 and the A6106 is the proposal to remove the sharp right angle bend in the channel as it is unnatural and acts as a constriction in the channel. This angle will be smoothed to provide an added river functioning benefit as well as less of a constriction to the SuDS pond.

6.4.2 Provision

The areas of floodplain loss that required compensatory storage, were modelled as they were too complex to assess on a 'like for like' elevation slice basis.

The total floodplain volumes at the realigned channel and on the opposite bank to the SuDS pond were calculated for the baseline and with scheme scenario to ensure that at each return period, there was equal to or increased storage from the baseline in the with scheme scenario. Incremental increases between return periods for baseline and with scheme were also calculated. It was demonstrated that with scheme floodplain volume storage has been increased at every return period from baseline volumes, and that the incremental increases between return periods was also increased. This ensures that like for like is provided based on magnitude of event and total floodplain volume.

As well as demonstrating increases storage volumes on the floodplain, the compensatory storage provision in the with scheme scenario is also seen to provide a neutral impact or decrease to channel water levels and flow at all modelled return periods. Hydrograph shape at the downstream extent of the scheme is comparable to the baseline hydrograph, demonstrating that floodplain storage is functioning in a similar manner.

Flood risk to the two carriageways that cross the Dean Burn was also assessed. Based on lowest levels on the carriageway, and top water levels in the 1 in200yr plus climate change event, it was shown that both the A7 and the A6106 can remain operational during a 1 in 200yr plus climate change flood event and that freeboard is provided to account for any uncertainty.

Using the hydraulic model, it has been demonstrated that the provided compensatory storage delivers increased floodplain volume, decreased top water levels and decreased or matched peak flows when compared to the baseline scenario at all return periods.

6.5 Recommendations

In order to mitigate against the loss of floodplain storage as a result land raising, it is recommended that compensatory storage be provided in the three areas outlined in this report. Full details of the extent and contours of the land reprofiling can be found in Appendix F.

The SuDS pond is required to be removed from the function floodplain as there is insufficient capacity in the pond for river flows. This can be achieved by forming a small bund in the order of 100-200mm around the western and southern edges of the pond.

The channel diversion should be constructed in line with the cross sections and guidance set out in the river restoration technical note 'A720 Sheriffhall Junction Improvement: Dean Burn diversion hydromorphology design'.

Appendix 11.4 – WFD Water Classification

A720 Sheriffhall Junction Improvement

Flood Risk Assessment

05 March 2019

Quality information

Revision History

Distribution List

Prepared for:

Transport Scotland

Prepared by:

AECOM Limited 1 Tanfield Edinburgh EH3 5DA UK

T: +44 131 301 8600 aecom.com

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Figures

Tables

1. Introduction

AECOM was commissioned by Transport Scotland to undertake a Flood Risk Assessment (FRA) as part of the wider A720 Sheriffhall Junction improvements that will feed into the Environmental Impact Assessment (EIA). The Sheriffhall roundabout is located at 331803, 667984 and the proposed development includes a flyover for the A720 bypass, with a roundabout connecting the A roads underneath. The works will also include additional cycle network provision. The study area is shown in [Figure 1](#page-71-0)-1.

The aim of the FRA is to assess the current flood risk to the site from the Dean Burn which runs parallel to the A720, assess the impact of the development (if any), and to develop mitigation options if required to ensure that flood risk is not adversely affected elsewhere as a result of the development.

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Figure 1-1: Site location
2. Data collection and Site Appraisal

2.1 Site description

The Sheriffhall roundabout is located to the south east of Edinburgh and connects the A720 with the A7, Old Dalkeith Road and Millerhill Road. It is surrounded on all sides by open fields and forested areas.

The Dean Burn runs almost parallel to the A720 throughout the duration of the study area before joining the North Esk 1.5km downstream. The route of the watercourse appears to have been modified from natural, although the current alignment can be seen on historic mapping as far back as 1850. The channel is set relatively deep compared to the floodplain, with moderately steep, densely vegetated banks throughout. The bed of the channel is comprised of rocky material of varying sizes with frequent obstructions such as tree roots and terrestrial debris. A mixture of rough grazing and forest upstream of the Old Dalkeith Road is observed on the floodplain, before changing to dense forest as the watercourse runs through Dalkeith Country Park.

The Dean Burn runs through several inline structures in the study area. These include openings under dividing walls, the culverts under the A7, Old Dalkeith Road and Borders Railway line, as well as various smaller footbridge and access crossings in Dalkeith Country Park.

Upstream of the site, the catchment is predominately rural, with a section of the town of Loanhead occupying the northern extent.

Site topography can be seen in Appendix C.

2.2 Site visit

A site walkover was undertaken in August 2018 to establish the general topography and constraints on the Dean Burn, review possible flood flow routes and assess the viability of potential mitigation options should they be required.

The area in and around the Dean Burn was seen to be overgrown and numerous natural channel obstructions, such as tree roots, leaves and branches were observed. Areas of bank erosion, specifically around the wooded areas at the irrigation pond, were seen to result in localised lowering of the banks. The channel was seen to be very irregular, with changes in width between approximately 1 – 5m.

A number of culverts and crossings had blockages which would affect conveyance at higher flows. No blockages were noted at the culverts running under the A7 and Old Dalkeith Road. These culverts were very large and likely oversized.

Photographs can be found in Appendix A.

2.3 Review of flooding

2.3.1 Historic flooding

There were no historic flood records available at the site and surrounding areas. This does not however mean that the area has not experienced flooding in the past.

Whilst ground investigation works were being undertaken as part of the wider project, out of bank flow was observed downstream of the irrigation pond on the left hand bank. This flow was sufficient to halt works although may have been somewhat exacerbated by pumping and operations in the area at the time.

2.3.2 SEPA floodmaps

The most recent SEPA flood risk maps were published in March 2015. The maps cover the whole of Scotland, and provide a strategic level of information on the potential sources and impacts of flooding, including coastal, fluvial, surface water (pluvial) flooding. They also show the Potentially Vulnerable Areas identified by SEPA as part of the flood risk management process under the Flood Risk Management (Scotland) Act 2009. Because the maps have been developed on a national scale, there are limitations in the data used, and they should be viewed as indicative only at local scale.

The fluvial flood maps were developed for all catchments greater than 3 km^2 . Flooding is seen to be fairly well contained to the channel along much of the study reach. The mapping indicates flooding in the woodland around the irrigation pond. Out of bank ponding is also observed in a low lying area on the right hand bank upstream of the A7 culvert. A flow pathway extends across the left hand bank between the A7 and Old Dalkeith Road, with the potential for some localised ponding. Downstream of the Old Dalkeith Road, flow exits the channel and runs along the southern edge of the Borders Railway embankment.

Surface water flood maps are derived from pluvial model results. Pluvial modelling assumes losses due to drainage networks in urban areas. Pluvial flooding is shown across much of the same extent as in the fluvial mapping.

Fluvial and surface water flooding can be viewed on SEPA's website:<http://map.sepa.org.uk/floodmap/map.htm>

2.4 Proposed works

The Sheriffhall roundabout upgrade will include construction of a flyover for the A720, with the remaining roads joining into a roundabout underneath. Sunken further from this, a new cycle and footpath network will be created. The works are classed as 'Essential infrastructure' and SEPA's Flood Risk and Land Use Vulnerability Guidance states that it is generally suitable to develop this land use type in areas at medium to high risk for operational reasons or if an alternative is not available. It is noted that the design should remain operational during floods.

These works will include road realignments and elevation changes, creation of new foot and cycle paths, and widening of the existing A720 and associated embankments to account for the flyover. The design also includes several SuDS features, to treat and attenuate the increased hardstanding, which have spatial constraints relating to tie in levels and discharge locations.

Where possible, and using an iterative approach, the layout has been designed to avoid the functional floodplain. However, there are areas where this is not possible.

Mitigation measures may be required if the scheme is seen to affect flood risk out with the site.

3. Hydrology

3.1 Methodology overview

The Flood Estimation Handbook (FEH) gives guidance on rainfall and river flood frequency estimation in the UK and also provides methods for assessing the rarity of notable rainfalls or floods. A number of methods of flood estimation are presented, including the FEH statistical method and the FEH rainfall-runoff method. Subsequent publications have presented the ReFH and ReFH 2 rainfall-runoff method, updating the FEH rainfall-runoff method.

The statistical method relies on deriving a representative growth curve for the subject site from a pooled group of hydrologically similar catchments for which there is gauging information. This means that the accuracy of the method and resulting flow estimate depends on there being a sufficient number of similar catchments contained in the gauging station database. The method assumes that the flood statistics within the periods of record in the pooling group are representative of the flooding regime in the future, i.e. that the data is stationary. However, the method is based on actual observed flood data, and is therefore considered to be more robust than the more conceptual rainfall-runoff methods.

The statistical method consists of two parts; estimation of the median annual flood (QMED), i.e. the flood event with an annual exceedance probability of 50% (1 in 2 year return period), and the derivation of a pooled or singlesite growth curve. The growth curve is then multiplied by the QMED estimate to provide a flood frequency curve for the subject site.

The best estimate of QMED is determined using flood data at the site if such local data exists. Alternatively if no such data exists, QMED can be estimated from FEH catchment descriptors and improved by data transfer from a suitably hydrologically similar donor gauge.

WINFAP-FEH is a software tool that supports the statistical flood frequency estimation methods as presented in Volume 3 of the FEH. It provides single-site and pooled group methods of frequency analysis based on annual maxima data from a database of gauged catchments. WINFAP-FEH files (Version 6) gauging station data was used to generate a hydrologically similar pooling group of sites using WINFAP-FEH v4 software. The database contains the annual maximum (AMAX) series data for each station in the database giving AMAX series up to and including the 2015 water year for the majority of UK gauges. Each station within the pooling group was checked and found to have AMAX series up to and including the 2015 water year. Similarity is judged using a distance measure derived from the difference in floodplain extent (FPEXT), rainfall (SAAR) and catchment area (AREA) between the subject site and the gauging station sites. The total data record from the resulting group should amount to around 500 years of data as recommended in *Science Report SC050050*. The pooling group is then used to predict a growth curve, which is combined with the index flood QMED to provide flow estimates for flood events of varying severity.

The FEH rainfall-runoff method has been updated with the ReFH2 rainfall-runoff method. This method utilised catchment specific descriptors to assess catchment functioning and runoff. ReFH2 is now accepted by SEPA as it incorporates a larger Scottish dataset, includes more small-catchment data, utilises the most up to date 2013 rainfall DDF (Depth, Duration, Frequency) model data and incorporates an improved method for assessing urban losses.

Given the size of the catchment, 6.2km^2 , both the statistical and ReFH2 methods were undertaken for a comparison before finalising the choice of method. Flow estimates for the Dean Burn catchment were determined for the total catchment at the downstream extent of the study area for input into the hydraulic model.

3.2 FEH catchment characteristics

3.2.1 Standard updates

Catchment characteristics for the Dean Burn study area were extracted from the FEH Web-Service and are presented in [Table 3](#page-76-0)-1. These values were updated where appropriate. The area of the catchment was modified slightly from the delineation provided by the FEH Web-service to account for differences in terrain identified using LiDAR. The original area of the catchment was 6.99 km². [Figure 3](#page-75-0)-1 displays the FEH default and the amended

catchment area. DPLBAR was updated due to the change of catchment area as the two are inherently linked. URBEXT was also uplifted to current day.

Figure 3-1: Catchment delineations

3.2.2 BFIHOST update

The BFIHOST value obtained from the FEH download was unusually high for this area. Whilst this FEH value is based on geological and soil maps and should therefore give a relatively accurate indication of catchment responsiveness, it was felt, that after correspondence with SEPA as well as further investigation, that this value should be reduced to tie in with the surrounding catchments.

The Dean Burn catchment is not gauged and therefore is not represented in the Institute of Hydrology's Base Flow Index map of Scotland. The surrounding catchment's BFI values ranged from 0.4 -0.64.

Bedrock and superficial deposit maps were then considered to determine the most similar local gauged catchment to the Dean Burn as a catchment with similar underlying geology is likely to have a similar BFI. The Dean Burn catchment bedrock consists of sedimentary rock, largely limestone, with superficial deposits of sedimentary glaciofluvial and till.

The local catchments with lower BFI values around 0.4 were seen to extend over areas of igneous bedrock with minimal superficial deposits, making them relatively impermeable. These catchments are unlikely to react to rainfall in the same manner as the Dean Burn catchment and were discounted from this assessment.

The bed rock and superficial deposits of the North Esk catchment were consistent with the Dean Burn catchment. The Dean Burn also flows into the North Esk and the BFI for this watercourse is between 0.5-0.54. The default Dean Burn BFIHOST value of 0.719 was updated to 0.52 based on its similarity to the North Esk catchment underlying geology.

3.2.3 SPRHOST update

Given the inherent link between BFIHOST and runoff, the SPRHOST value was also updated. This was done by applying the following equation from the Flood Estimation Handbook, vol3:

$$
RESHOST = BFIHOST + 1.30\left(\frac{SPRHOST}{100}\right) - 0.987
$$

Table 3-1: FEH Catchment Parameters for Dean Burn at Downstream Extent of Subject Site

3.3 Statistical analysis

Statistical analysis was undertaken on the Dean Burn to establish peak flow estimates. Given the size of the catchment, there are inherent uncertainties using this method as there are only a small number of similar small catchments in the data set.

3.3.1 QMED estimation

QMED is defined as the median annual flood, i.e. the flood event with an annual exceedance probability (AEP) of 50% (1 in 2 year return period).

With no gauged data at the upstream extent of the model, the FEH recommended method to derive QMED is by data transfer from a hydrologically similar donor catchment. This donor transfer was carried out within the WIN-FAP v4 software, using both the multiple station method and the single station method. The single site donor urbanised adjustment method has been selected as the preferred method because the single donor catchment was very similar to the Dean Burn and therefore a strong candidate. The donor catchment used is the West Peffer Burn @ Luffness. Details of the donor selection can be found in Appendix B. Transferred QMED values are shown in [Table 3](#page-77-0)-2.

Table 3-2- QMED Donor Adjustment

3.3.2 Pooled growth curve

The resulting default pooling group was then reviewed in WINFAP and a number of adjustments were made. This mainly comprised of removing sites with short records, low BFIHOST values and poor suitability comments. Details of the default and reviewed pooling groups are included in Appendix B.

The best fitting statistical distribution was the GL (Generalised Logistic), and the heterogeneity measure H₂ was 1.86, possibly heterogeneous.

[Table 3](#page-77-1)-3 shows the flood frequency curve for the Dean Burn using the pooling group.

Table 3-3-Growth Curve and Flood Frequency Curve for Dean Burn

3.4 ReFH2

The Revitalised Flood Hydrograph Method 2 (ReFH2) was undertaken as a comparison to the flow estimates generated using the Statistical method. ReFH2 is now accepted by SEPA and is suitable to use on a catchment of this size. This ReFH2 method has also included improvements on how permeable catchments are considered.

Catchment descriptors and delineations were obtained from the FEH Web Service and updated as set out in Section 3.2. ReFH2 software, using the winter storm profile given the rural nature of the catchment, was used. Peak flows from the analysis are shown in [Table 3](#page-78-0)-4.

Table 3-4: ReFH2 Peak Flow Results

3.5 Method selection

The REFH2 results gave higher peak flow estimates than the Statistical method, which is conservative. Due to the small size of the Dean Burn catchment, it is also difficult to find similar sized gauged catchments for use in the statistical method, which reduces certainty in the results. For these reasons, the REFH2 method was used for establishing peak flows in the Dean Burn.

The standard ReFH2 derived hydrograph was used as there was no gauged data on the watercourse to be used to generate an alternative.

[Table 3](#page-79-0)-5 displays the comparison of the Statistical analysis and ReFH2 peak flow estimates.

Table 3-5- Summary of peak flows for the statistical and ReFH2 method

3.6 Climate change

The United Kingdom Climate Projections 2018 (UKCP18) dataset was published in December 2018 and outlines updated probabilistic projections of climate change impact for the 2020's, 2050's and 2080's based on various emissions scenarios and probability percentiles. United Kingdom Climate Projections (UKCP09¹) is a previous version of the projections and since little guidance has been provided on how these new 2018 uplifts in rainfall relate to increases in flow, the UKCP09 flow uplifts are still considered to be the most appropriate.

Outlined in their Flood Modelling Guidance for Responsible Authorities, SEPA commissioned CEH to undertake a study assessing Scottish catchments vulnerability to climate change. Within this study the UKCP09 projections were run through models to provide flow uplift for hydraulic basins. This provides a more accurate representation of the increase to fluvial flows.

Based on the medium emission scenario 2080s, 50th percentile, the study area is reported to have a 21% change in flood peak as shown in table 10-1 of the SEPA Flood Modelling guidance. For the purpose of this flood study, a 21% uplift has been adopted for climate change scenario to come in line with SEPA's Technical Flood Risk Guidance. The medium emission scenario 2080, 90th percentile change in flood peak will also be applied to the modelling to assess sensitivity. This is reported to be 40% for the study area.

 \overline{a} ¹ UK Climate Projections, *United Kingdom Climate Projections (UKCP09) Rainfall Maps*, June 2009

4. Hydraulic Modelling

4.1 Baseline model build

A single hydraulic model has been constructed for the Dean Burn and surrounding areas at Sheriffhall consisting of a one dimensional element representing the river channel built in Flood Modeller and a two dimensional element representing the floodplain constructed in Tuflow.

4.1.1 One dimensional channel model

A one dimensional model of the Dean Burn was constructed using surveyed cross sections and inline structures. Details of the sections and structures can be found in Appendix C. The survey was undertaken as part of this study and was used to represent the channel geometry and to define the top of bank. The model consists of 50 surveyed river sections, 2 bridges, and 4 culverts. The openings under the dividing wall upstream of the A7 were not surveyed but were included in the model based on photographs. All structures in the modelled reach were included in the model with trash screens applied where appropriate. When the downstream section of the bridge was not surveyed, a copy of the upstream face was used. During the baseline model runs, all structures were assumed to be clear of obstruction. No changes to default structure parameters were made.

The modelled reach extends from the A722 at Burndale to 500m downstream of the Old Dalkeith Road. Section labelling around the site can be seen in [Figure 4](#page-80-0)-1 and will be referenced later in the report to describe flood risk at certain locations. A full schematisation of the river sections and 2D domain can be seen in Appendix C.

Figure 4-1: Cross section labels around the site

The inflow hydrograph, as calculated in Section 3.5, was applied at the upstream extent of the model. The downstream boundary was represented as a normal depth boundary, based on channel bed gradient, to allow flow to leave the model. A normal depth boundary was deemed appropriate as the influence of the River North Esk would not affect water levels at the site of interest due to the steep nature of the channel. The normal depth boundary in its location further upstream from the North Esk also did not affect water levels at the site.

Channel and bank Manning's 'n' roughness values were selected based on photographs and the site visit. The channel is predominantly natural along its reach. Due to the weedy stony nature of the channel, bed roughness was set between 0.045 and 0.05. Only minor sections of floodplain and banks were represented in the 1D model as the majority of the top of bank was represented in the 2D domain. Intermittent long grass banks were set at 0.04 and denser vegetated banks set at 0.045.

4.1.2 Two dimensional floodplain model

The 1D channel model was linked to a 2D domain (ground surface model) so that the overland flood mechanisms could be assessed. The 2D hydraulic model contained the following elements:

- Ground surface using 1m LiDAR Digital Terrain Model (DTM);
- Topography modifications where LiDAR does not accurately represent the ground surface primarily between the A7 and A6106;
- 1D/2D links to allow free flow between the river channel and floodplain based on surveyed top of bank elevations. Downstream of Old Dalkeith Road, top of bank was not surveyed and is based on LiDAR;
- Roughness layer depicting different surfaces based on OS Mastermap data representing buildings (n = 0.5), roads (n= 0.02), fields (n=0.045), wooded areas (n=0.1) and water (n=0.03). A default roughness of 0.06 was applied to all other surfaces to represent short to medium grass contained by fences and hedges:
- Downstream boundary Automatic HQ (head/flow) boundary applied to the downstream extent of the floodplain to allow water to escape the domain and not cause artificial ponding;
- The LiDAR was found to adequately represent the road and rail embankments and no modifications to topography were made;
- A field diving wall was added into the 2D domain as a z-line in the upstream section of the model and was assumed to remain standing. The Dean Burn flows under this wall at 3 locations and these openings were represented by orifice units based on site photographs.

4.1.3 Model run parameters

The 2D domain was set at a 2m grid size so that the channel and flow pathways could be accurately represented.

The model was run using a 0.5 second 1D timestep and a 1 second 2D timestep, with no changes to default run parameters. The 1D/2D model was run unsteady, i.e. time varying flow, for the required return periods set out in Section 3. This allowed for the flood progression to be fully assessed in both the 1D channel and the 2D floodplain.

4.2 Scheme model build

Where possible, and using an iterative approach, the layout has been designed to avoid the functional floodplain identified in the baseline modelling. However, there are areas where this is not possible due to topographic or space constraints. A drawing displaying the proposed scheme is shown in Appendix C.

The proposed development was modelled to determine how the design affected flood risk when compared to the baseline scenario. This run contains no mitigation measures and is to be used purely to assess how the proposed roundabout upgrades affect flood risk in the surrounding area.

To form the with scheme model, the following modifications were undertaken to the baseline model:

- Sections of the proposed development were stamped onto the LiDAR in areas where the design encroached into the flood extent. The existing ground levels within the footprint of the road embankments were raised to account for the changes in levels associated with the development as this could affect floodplain storage and flood mechanism. Raising levels from the toe of the embankment is slightly conservative;
- The area where SuDS ponds was to be located were also raised in the 2D domain as flood water would no longer be able to enter (due to insufficient capacity for river flows) and would therefore be removed from the functional floodplain;
- The culvert under the A7 was lengthened to accommodate the additional footpath and road realignment.

[Figure 4](#page-82-0)-2 displays the locations of the main DTM modifications made during the with scheme modelling

Figure 4-2: Notable areas of DTM modifications due to design within functional floodplain

4.3 Mitigation assessment

4.3.1 Requirement for mitigation

It is best practice to avoid land raising in the function floodplain. This approach has been applied throughout the design process, however in some cases it has not been possible to avoid development within sections of the functional floodplain. In these instances, outlined below, land raising will need to be mitigated up to the 1 in 200yr design level to ensure no detrimental flood risk.

The main SuDS pond between the A7 and A6106 is to provide a large percentage of the attenuation and treatment requirements of the proposed works and therefore has significant area requirements. Additional constraints such as the Dean Burn and the local topography, which affects where ponds can be located due to network outfalls and discharge locations generally requiring gravity, areas where this large pond could be located were limited. Smaller ponds to the north are used earlier in an event to stagger the peak storms and provide additional storage, however the large pond had to be located in the area between the A7 and A6106. This area is seen to flood and will require compensatory storage to mitigate this loss.

Small sections of the A720 approach road embankments are proposed to run very close to the Dean Burn, in an area of floodplain that is also seen to flood during the baseline scenario. This embankment and approach road is constrained by maximum gradients along the road and also maximum side slopes on the embankment. Given the proximity of the embankment toe to the watercourse, and the potential erosion issues this could cause, it is proposed that the burn be diverted 40m to the south. Realignment of this burn provides an opportunity for river restoration along a reach that is currently canalised and overly deep. The channel would be installed as a restored 2 stage meandering channel created to improve watercourse function and habitat. Compensatory storage will also be provided to account for the loss of floodplain storage. Further details of the design of this diverted stretch of watercourse can be found in the technical note 'A720 Sheriffhall Junction Improvement: Dean Burn diversion hydromorphology design' and [Figure 5](#page-91-0)-2.

SEPA's Technical Flood Risk Guidance for Stakeholders, 2018 outlines a recommended approach for providing compensatory storage if functional floodplain is lost elsewhere as a result of the development. It is noted in this guidance that development within the functional floodplain should be avoided but in certain cases it can be

appropriate if compensatory storage is provided so that the development does not affect the ability of the functional flooding to store and convey water. This guidance recommends that 'like for like' direct storage is the preferred option for mitigating land raising, with a modelling approach potentially being suitable if it is shown that 'like for like' is not a suitable method.

The 'like for like' method is applicable where only floodplain capacity is lost and does not take into account a loss in floodplain flow pathway or if the watercourse alignment is altered. To mitigate against a loss of floodplain that contains a flow pathway, and to assess storage loss as a result of watercourse diversion, modelling must be undertaken to assess the relative change in floodplain volume over a range of return periods.

4.3.2 Mitigation assessment and modelling

4.3.2.1 Like for like slice calculations

A total of three areas will be removed from the functional floodplain as a result of the scheme and are shown in [Figure 4](#page-83-0)-3.

It is SEPA's preferred method to use a 'like for like' slice approach and each of the areas was assessed to determine whether this approach was suitable.

It was found that the loss of floodplain in areas 1 and 2 was attributed to the channel diversion and that a 'like for like' slice assessment would not be appropriate in these areas due to the significant change in bed and bank levels of the realigned channel. Matching volume at each elevation slice would be unlikely to provide the same flood mechanism given the significant change in topography.

It was also found that due to the flow pathway running through area 3 that a 'like for like' slice assessment would also not be appropriate as it would underestimate the floodplain loss downstream caused by cutting of the flow pathway. Matching volume at each elevation on the opposite bank would not take into account the area to the south and may result in a net loss of storage.

Hydraulic modelling was therefore deemed the most appropriate way to determine compensatory storage requirements.

Figure 4-3: Areas that will be removed from the function floodplain

4.3.2.2 Hydraulic modelling of compensatory storage

Modelling was undertaken for all areas shown i[n Figure 4](#page-83-0)-3 to ensure that the compensatory storage was adequate, and that it replicated the baseline flood mechanism as closely as possible.

The compensatory storage requirements at the Dean Burn diversion, upstream of the A7 is complex as the river channel will be significantly altered from its original state. The change in alignment, long section and cross section means that the loss and provision of storage must be assessed using the hydraulic model as providing like for like storage is unlikely to adequately account for the changes in the floodplain and channel as elevations have changed significantly.

The compensatory storage at the SuDS pond will also be assessed using the hydraulic model as removing the SuDS pond from the functional floodplain will cut off a flow pathway which currently feeds an area of floodplain that will remain unaltered. Like for like calculations could therefore potentially underestimate the required storage as it would not take into account the flow mechanism and area of unaltered floodplain to the south.

To assess the compensatory storage requirements using the hydraulic model, the LiDAR was lowered along the meander of the realigned channel on both banks as well as in the area on the opposite bank of the main SuDS pond to mimic the lost floodplain storage in all areas. Given the flow path across the left hand bank at the SuDS pond will be cut off, minor lowering of the left bank to the south of the pond was also undertaken to allow flow into this area at the same time in the event as the baseline. The time in the event that spill occurs was matched with the baseline model run to ensure this new area of storage acted as similarly to the baseline scenario as possible. Ensuring that spill occurs at the same time in the baseline and with scheme scenarios means that floodplain storage will not be used too early or late in an event.

The sharp right angle bend in the channel is unnatural and acts as a constriction in the channel. This angle was smoothed in the model to provide an added river functioning benefit as well as less of a constriction to the SuDS pond.

An iterative approach was then undertaken that involved changing bank levels and modifying the elevation and size of the LiDAR modifications until the modelled compensatory storage provided increased attenuation when compared to the baseline. This ensures that like for like is provided based on magnitude of event and total floodplain volume.

4.4 Sensitivity testing

Sensitivity checks were carried out on the hydraulic model parameters where they were considered to be inherently uncertain to explore the effect on model results.

The aim is to understand the range of model results that could be obtained with variation of these parameters. The intention is not to evaluate an accuracy range or otherwise quantify uncertainty; but to give an indication of the influence certain parameters have and identify if there are significant or disproportionate influences.

In line with SEPA guidance, the model parameters tested were:

- Flow.
- Manning's Roughness,
- Structure Blockages.

Sensitivity to changes in the downstream boundary was not tested as the downstream extent of the model was 500m downstream, with bed levels 6m lower than at the site. It was deemed sufficiently far downstream that the downstream boundary did not influence levels at the site.

4.4.1 Flow

Model sensitivity to flow was tested with a 40% increase for the 1 in 10yr and 1 in 200yr events. This uplift corresponded to the medium emission scenario 2080, $90th$ percentile change in flood peak.

Tabulated results with changes to channel water elevations at various locations are displayed in Table 1 Appendix D.

Increasing the flow by 40% increased the 1 in 10yr channel water levels by a maximum of 260mm and the 1 in 200yr channel water levels by up to 600mm. These maximum increases are observed immediately upstream of the A7 culvert. Increases in levels are experienced to varying degrees along the length of the modelled reach with the smallest increases being 70mm and 90mm for the 1 in 10yr and 1 in 200yr respectively.

During the 1 in 10yr event, out of bank flow observed in the area upstream of the A7 culvert on the left hand bank is seen to increase. Depths increases in this area reach a maximum of 250mm. Additional flooding that was not observed in the baseline scenario is also observed on the left hand bank between the A7 and the A6106. Flooding locations and extents are seen to increase with a 40% uplift in flow during the 1 in 200yr event. Depths are seen to increase by up to 600mm in the area upstream of the A7 culvert. The area of flooding between the A7 and Old Dalkeith Road has smaller increases in floodplain depths in the order of 200-300mm.

Channel water levels are seen to increase as a result of an uplift in flow. However, it should be noted that location and overall extent of flooding remains comparable, particularly in the lower return periods. Whilst the increases in floodplain depths are not insignificant, the flows used in the baseline model are deemed to be appropriate as they are based on best practice methodologies.

4.4.2 Manning's Roughness

In line with SEPA's modelling guidance Manning's 'n' roughness was increased by 40% in both the 1D channel and 2D floodplain for the 1 in 10yr and 1 in 200yr events.

Tabulated results with changes to channel water elevations at various locations are displayed in Table 1 Appendix D.

Increasing the roughness by 40% increased the 1 in 10yr channel water levels by a maximum of 140mm and the 1 in 200yr channel water levels by up to 440mm. These maximum increases are observed around the A6106 culvert. Increases in levels are experienced to varying degrees along the length of the modelled reach with the smallest increases being 10mm and 25mm for the 1 in 10yr and 1 in 200yr respectively.

During the 1 in 10yr event, very minimal increases to flood extent are observed upstream of the A7. Increases to depths in this area reach a maximum of 25mm. Additional flooding is observed on the left hand bank between the A7 and the A6106. Flooding locations are not seen to change markedly with a 40% uplift in roughness during the 1 in 200yr event. Depths and extents are seen to increase by up to 40mm in the area upstream of the A7 culvert. The area of flooding between the A7 and Old Dalkeith Road has larger increases in floodplain depths in the order of 100-200mm.

Channel water levels are seen to increase as a result of an increase in roughness. The increases are more apparent in the 1 in 200yr event, where extents are increased be approximately 12m in the area between the A7 and A6106. However, the roughness values used in the baseline model are deemed to be appropriate based on channel type and geometry and an increase roughness of 40% would be expected to result in significant uplifts.

4.4.3 Blockages

Blockage scenarios were tested for the1 in 200yr event to assess the impacts on flooding should a structure become partially blocked during a flood event. A total of 3 structures [\(Figure 4](#page-86-0)-4) were identified around the site as having the potential to cause increased flooding if they became partially blocked. There were no anecdotal accounts of any blockages at any of the structures. On the site visit undertaken as part of this survey, no blockages were observed in structures 1 and 2 and both were considered to be oversized and unlikely to block significantly. Structure 3 is a much smaller structure and the inlet was seen to be partially blocked by small branches and leaves. Using a conservative approach, all structures were modelled in separate simulations as partially blocked to 50% of the flow area by reducing the cross sectional area accordingly.

Figure 4-4: Blockage locations

Each blockage scenario was run separately and tabulated results with changes to channel water elevations at various locations are displayed in Table 2 Appendix D.

Decreasing the cross sectional area separately at the 3 structures increased channel water levels by 500mm, 300mm and 600mm for blockage scenarios 1, 2 and 3 respectively.

During blockage scenario 1, in channel water levels were increased by approximately 500mm upstream of the A7 culvert. Floodplain depths and extents were also increased in this location on both banks by approximately 500mm. Out with this area, no other increases to depths are shown. Due to additional flow being held upstream of the A7, out of bank spill is seen to decrease upstream of Old Dalkeith Road by approximately 100mm. The backwater effect is experienced approximately 200m upstream of the culvert inlet. Blockage of this culvert is seen to increase levels near the A7 but does not cause flooding on the carriageway. Due to the size of the culvert, it is also very unlikely that a 50% blockage would occur.

During blockage scenario 2, in channel water levels were increased by approximately 300mm upstream of Old Dalkeith Road culvert. Floodplain depths and extents were also increased in this location on both banks by approximately 300mm. Out with this area, no other increases to depths are shown. The backwater effect is experienced approximately 220m upstream of the culvert inlet. Blockage of this culvert is seen to increase levels near the A6106 but does not cause flooding on the carriageway which is significantly higher.

During blockage scenario 3, in channel water levels were increased by up to 600mm in the area between Old Dalkeith and the railway embankment. The floodplain in this location did not previously flood. During the blockage scenario, depths and extents increased in this location on both banks by approximately 300mm. Flood depths and extents were also seen to have increased upstream of Old Dalkeith Road by approximately 400mm. The backwater effect is experienced approximately 250m upstream of the culvert inlet. Blockage of this culvert is seen to increase levels near the A6106 but does not cause flooding on the carriageway which is significantly higher.

From this testing, it can be seen that increases in channel flood depths are apparent in all blockage scenarios. Floodplain depths and extents are also seen to increase. The increases observed during blockage scenario 1 are not however seen to affect key receptors. The increases during scenario 2 and 3 have the potential to affect flooding around the SuDS ponds. Increased levels as a result of blockage do not cause flooding on either of the carriageways.

This sensitivity analysis is not an analysis on the likelihood of blockage, but an assessment of the severity of flooding impacts should a blockage occur at a particular structure. Identifying the structures where blockage may result in increased flooding, is useful for identifying structures that would benefit from either extra maintenance or additions such as trash screens.

It is recommended that structures are regularly monitored and maintained.

5. Results

5.1 Baseline

During the 1 in 200yr plus climate change event, flooding first occurs on the right hand bank, immediately upstream of the A7 culvert with out of bank flow spreading into a low lying area. Flood depths reach a maximum of 1.65m in this area. As the event progresses, flooding occurs at section 20, downstream of the irrigation pond on the left hand bank. Flood waters travel along the floodplain, parallel to the watercourse, to depths ranging from 50 - 200mm, before re-entering the channel at section 22. At the same time, a flow pathway occurs across the left hand bank between section 32 and 37, equating to 0.4 m^3 /s at its peak.

Flow exits the channel at section 32 and spreads across the floodplain. The majority of the out of bank spill reenters the channel between sections 36 and 38. Maximum flood depths in this area reach 280mm. At approximately the same time, flow spills out of bank on the left hand bank upstream of the A7 and the right hand bank upstream of the A6106. Flood depths reach a maximum of 900mm and 500mm upstream of the A7 and A6106 respectively. The flooding extent for this event can be seen in [Figure 5](#page-89-0)-1. Minor flooding is observed on the right hand bank immediately downstream of the A6106 to depths of 300mm.

The remainder of the flow is contained within channel. No out of bank spill is observed downstream of the access track leading onto Old Dalkeith Road.

The A7 and the A6106 were not seen to be at flood risk during a 1 in 200yr plus climate change event.

The flood mechanism is largely replicated in lower return periods, with the first instance of spill at the various locations noted above occurring at different return periods. Flooding is observed in the area upstream of the A7 from the 1 in 5yr event. The onset of flooding for the area around section 20 and flow pathway at section 32 starts from the 1 in 30yr event.

Although there is no historic flood accounts in this rural area, the baseline flood maps tie in well with SEPA flood mapping as also with observed flow routes on site during GI investigations.

A full range of baseline floodmaps for all modelled return periods, with and without climate change, can be found in Appendix E.

Maximum stage in the 1D channel for a range of return periods can also be found in Table 3 Appendix D.

Figure 5-1: 1 in 200yr plus climate change flood depths

5.2 With Scheme

The with scheme model results demonstrates that without mitigation, the proposed scheme has a negative impact on flood risk as a result of loss of floodplain and flow area and is therefore not in line with planning policy.

Floodplain depths upstream of the A7 and the A6106 were increased by approximately 5- 10mm during the 1 in 200yr event and 5-10mm in the 1 in 200yr plus climate change event. Pass forward flows were increased by 0.03m³/s and 0.025m³/s through the A6106 culvert for the 1 in 200yr and 1 in 200yr plus climate change events respectively.

[Table 5](#page-90-0)-1 displays the changes in channel water levels at a selection of sections for the 1 in 200yr event. A maximum increase in water level of 50mm is noted at section 32 where out of bank flow originally occurred in the baseline model but is blocked within the scheme model to account for the SuDS pond.

Table 5-1: Channel water levels with and without scheme during the 1 in 200yr event.

* This decrease related to change in node location and does not represent an actual decrease in water level

The scheme results in a loss of floodplain storage as a result of land raising. The modelling has demonstrated that the proposed scheme increases water levels and pass forward flows and requires mitigation measures to ensure the design complies with planning policy.

5.3 Compensatory storage modelling

5.3.1 Floodplain volumes

The total floodplain volumes at the 2 sections at the realigned channel and on the opposite bank to the SuDS pond were calculated for the baseline and with scheme scenario. The areas of floodplain loss and proposed compensatory storage locations are shown in [Figure 5](#page-91-0)-2. Full details of the land reprofiling are presented in Appendix F.

The proposed compensatory storage for each areas was provided in locations as close as possible to the floodplain loss from the baseline. Due to the river realignment, area 2 is not seen to flood in the with scheme scenario and the compensatory storage has been provided in the same location, with flow filling the area from east. As stated in previous chapters, ground levels were lowered in a manner to ensure that the timings of spill were replicated as closely as possible to the baseline.

Calculating the floodplain volumes for the baseline and with scheme scenarios ensures that at each return period, there is equal to or increased storage from the baseline in the with scheme scenario. Incremental increases between return periods for baseline and with scheme were also calculated. [Table 5](#page-91-1)-2 displays the floodplain volumes in the baseline and with scheme scenarios for the full range of return periods. It is demonstrated that with scheme floodplain volume storage has been increased at every return period from baseline volumes, and that the incremental increases between return periods is also increased. This demonstrates like for like floodplain storage volume at all return periods.

Figure 5-2: Floodplain loss and proposed compensatory storage locations

Table 5-2: Baseline and with scheme floodplain volumes

5.3.2 Impact of Compensatory storage on levels and flow

As well as demonstrating increases storage volumes on the floodplain, the mitigation measures are seen to decrease channel water levels. [Table 5](#page-92-0)-3 displays the top water levels for the 1 in 30yr and 1 in 200yr events. The increases observed at sections 18-22 are as a result of the channel diversion as bed levels and channel cross section have been altered significantly and top water levels between the two scenarios are therefore not comparable. All other river sections display a neutral impact or reduction in water level of between 10-50mm with the mitigation measures in place.

Flood risk to the carriageways was also assessed to ensure they could remain operational during a 1 in 200yr plus climate change event and that they had freeboard to account for any uncertainty.

Flood risk to the two carriageways that cross the Dean Burn was also assessed. The A7 southbound will be lowered from its original elevation and realigned in some locations. The lowest level on the carriageway is 60.45mAOD, which is 500mm above the 1 in 200yr plus climate change flood level in the floodplain upstream of culvert. The A6106 is proposed to remain raised on an embankment, with the lowest level on the carriageway being 59.7mAOD, which is 2.5m above the 1 in 200yr plus climate change flood level upstream of culvert. It is therefore shown that both the A7 and the A6106 can remain operational during a 1 in 200yr plus climate change flood event and that freeboard is provided to account for any uncertainty.

Full tabulated model results can be found in Appendix D. Floodmaps for the 1in 30yr and 1 in 200yr event can be found in Appendix E.

Table 5-3: Baseline and with scheme change in top water levels

* These increases are a result of the channel realignment where both section location and elevation have changed

To fully assess the effectiveness of the compensatory storage provided, pass forward flows were also assessed to ensure the scheme has no negative impact on flood risk downstream. [Table 5](#page-93-0)-4 displays the peak flows through the A7 and the A6106 culverts. In all return periods, flow is seen to either be equal to or less than the

baseline, representing a neutral or betterment to pass forward flows. Although compensatory storage is only required to be provided up to the 1 in 200yr design event, it can be seen in [Table 5](#page-93-0)-4 that pass forward flow in the 1 in 200yr plus climate change event is also reduced from baseline.

Maximum stage in the 1D channel for a range of return periods can also be found in Table 4 Appendix D.

Table 5-4: Flows at the A7 and A6106 culverts – baseline and with scheme

As well as matching peak flows, it is also important that the full hydrographs are similar in the baseline and with scheme scenarios to demonstrate that floodplain storage is storing and conveying flood waters in a similar manner. [Figure 5](#page-93-1)-3 displays the 1 in 200yr baseline and with scheme hydrograph upstream of the A6106 culvert. The hydrographs are seen to be very similar on the rising and receding limbs, with the main variation around the peak due to the increase in floodplain storage provided as part of the scheme design.

Figure 5-3: 1 in 200yr hydrograph – baseline and with scheme at downstream end of scheme extent

Using the hydraulic model, it has been demonstrated that the proposed compensatory storage delivers increased floodplain volume, decreased top water levels and decreased or matched peak flows at all return period.

6. Conclusion

6.1 Introduction

AECOM was commissioned by Transport Scotland to undertake a Flood Risk Assessment (FRA) as part of the wider A720 Sheriffhall Junction improvements that will feed into the Environmental Impact Assessment (EIA). The Sheriffhall roundabout is located to the south of Edinburgh and the proposed development includes a flyover for the A720 bypass, with a roundabout connecting the A roads underneath and cycle network provision. The design also includes several SuDS features, to treat and attenuate the increased hardstanding.

The works are classed as 'Essential infrastructure' and SEPA's Flood Risk and Land Use Vulnerability Guidance states that it is generally suitable to develop this land use type in areas at medium to high risk for operational reasons or if an alternative is not available. It is noted that the design should remain operational during floods.

The aim of the FRA is to assess the current flood risk to the site from the Dean Burn which runs parallel to the A720, assess the impact of the development (if any), and to develop mitigation options if required to ensure that flood risk is not adversely affected elsewhere as a result of the development.

6.2 Baseline flood risk

Out of bank flow is seen to occur from the 1 in 5yr event upstream of the A7 culvert during current day conditions. Flood extent is seen to increase in this area as event magnitude increases, with maximum flood depths in the 1 in 200yr event plus climate change event of 1.65m.

Other notable areas of out of bank spill are located on the left hand bank downstream of the irrigation pond and across the left hand bank between the A7 and Old Dalkeith Road. The spill in these locations begins from the 1 in 30yr event. Flood depths are shallower in these locations, in the order of 200-300mm during a 1 in 200yr plus climate change event.

Later in the event, further spill is observed on the left bank upstream of the A7 and the right bank upstream of the A6106 to depths of approximately 900mm and 500mm respectively.

The A7 and A6106 carriageways were shown to not be at risk during a 1 in 200yr plus climate change event.

6.3 Proposed scheme flood risk

Where possible, and using an iterative approach, the layout has been designed to avoid the functional floodplain identified in the baseline modelling. However, there are areas where this is not possible due to topographic or space constraints.

The proposed development was modelled to determine how the design affected flood risk when compared to the baseline scenario. This run contains no mitigation measures and is to be used purely to assess how the proposed roundabout upgrades affect flood risk in the surrounding area.

The with scheme model demonstrates that without mitigation, the proposed development has a negative impact on flood risk out with the site and is therefore not in line with planning policy.

Floodplain depths upstream of the A7 and the A6106 were increased by approximately 5- 10mm during the 1 in 200yr event and 5-10mm in the 1 in 200yr plus climate change event. Pass forward flows were increased by 0.03m³/s and 0.025m³/s through the A6106 culvert for the 1 in 200yr and 1 in 200yr plus climate change events respectively.

The modelling of scheme demonstrated that the proposals increase water levels and pass forward flows and will require mitigation measures to ensure the design complies with planning policy.

6.4 Mitigation measures

6.4.1 Requirements

It is best practice to avoid land raising in the function floodplain. This approach has been applied throughout the design process, however in some cases it has not been possible to avoid development within sections of the functional floodplain.

The areas where it has not been possible to remove scheme elements from the function floodplain are along a stretch of the Dean Burn that runs close to the A720 embankment and an area between the A7 and the A6106 that is required for a SuDS pond. The with scheme model has demonstrated that removing these areas from the floodplain negatively affects flood risk and land raising will need to be mitigated up to the 1 in 200yr design level to ensure no detrimental flood risk.

SEPA's Technical Flood Risk Guidance for Stakeholders, 2018 outlines a recommended approach for mitigating land raising by providing compensatory storage elsewhere. It is noted in this guidance that development within the functional floodplain should be avoided but in certain cases it can be appropriate if compensatory storage is provided so that the development does not affect the ability of the functional flooding to store and convey water.

In addition to providing compensatory storage, two further mitigation measures are suggested on the Dean Burn. It is proposed that the Dean Burn be realigned upstream of the A7 given the proximity of the embankment toe to the watercourse, and the potential erosion issues this could cause. It is proposed that the burn be diverted 40m to the south. Realignment of this burn provides an opportunity for river restoration along a reach that is currently canalised and overly deep. The channel would be installed as a restored 2 stage meandering channel created to improve watercourse function and habitat. A further channel improvement between the A7 and the A6106 is the proposal to remove the sharp right angle bend in the channel as it is unnatural and acts as a constriction in the channel. This angle will be smoothed to provide an added river functioning benefit as well as less of a constriction to the SuDS pond.

6.4.2 Provision

The areas of floodplain loss that required compensatory storage, were modelled as they were too complex to assess on a 'like for like' elevation slice basis.

The total floodplain volumes at the realigned channel and on the opposite bank to the SuDS pond were calculated for the baseline and with scheme scenario to ensure that at each return period, there was equal to or increased storage from the baseline in the with scheme scenario. Incremental increases between return periods for baseline and with scheme were also calculated. It was demonstrated that with scheme floodplain volume storage has been increased at every return period from baseline volumes, and that the incremental increases between return periods was also increased. This ensures that like for like is provided based on magnitude of event and total floodplain volume.

As well as demonstrating increases storage volumes on the floodplain, the compensatory storage provision in the with scheme scenario is also seen to provide a neutral impact or decrease to channel water levels and flow at all modelled return periods. Hydrograph shape at the downstream extent of the scheme is comparable to the baseline hydrograph, demonstrating that floodplain storage is functioning in a similar manner.

Flood risk to the two carriageways that cross the Dean Burn was also assessed. Based on lowest levels on the carriageway, and top water levels in the 1 in200yr plus climate change event, it was shown that both the A7 and the A6106 can remain operational during a 1 in 200yr plus climate change flood event and that freeboard is provided to account for any uncertainty.

Using the hydraulic model, it has been demonstrated that the provided compensatory storage delivers increased floodplain volume, decreased top water levels and decreased or matched peak flows when compared to the baseline scenario at all return periods.

6.5 Recommendations

In order to mitigate against the loss of floodplain storage as a result land raising, it is recommended that compensatory storage be provided in the three areas outlined in this report. Full details of the extent and contours of the land reprofiling can be found in Appendix F.

The SuDS pond is required to be removed from the function floodplain as there is insufficient capacity in the pond for river flows. This can be achieved by forming a small bund in the order of 100-200mm around the western and southern edges of the pond.

The channel diversion should be constructed in line with the cross sections and guidance set out in the river restoration technical note 'A720 Sheriffhall Junction Improvement: Dean Burn diversion hydromorphology design'.

Appendix A – Site Photographs

Photograph 1: Typical section through the the wooded area adjacent to irrigation pond

Photograph 2: Typical section through the the wooded area adjacent to irrigation pond

Photograph 3: Area upstream of A7 culvert

Photograph 4: Area upstream of the A7 culvert

Photograph 5: A7 culvert inlet

Photograph 6: A7 culvert outlet

Photograph 7: Inlet of Old Dalkeith Road culvert

Photograph 8: Culvert leading into Dalkeith Country Park

Photograph 9: Typical section through Dalkeith Country Park

Appendix B – Hydrology

Donors assessed in QMED calculations taken from WINFAP selection

Acceptable / Not acceptable

Removed stations from default pooling group. All stations added as a result of removal were checked for all parameters to ensure suitability

Appendix C – Model Schematisations & Site Topography

1D model cross sections

56.865

Structures in 1D model

Table 1: summary of structures in model

Figure 1-Culvert 29

Cross Chainage (m)

Figure 2-Culvert 39

Figure 4-Bridge 43

Cross Chainage (m)

Figure 6-Bridge 48

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Long Section: S1 - S50 - Bed Profile

PROJECT

A720 Sheriffhall Junction Improvement

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1D river sections \bullet

KEY:

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SHEET TITLE Figure 1: 1D node locations 60572241

PROJECT NUMBER

1 of 2

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KEY:

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1D river sections

SHEET TITLE Figure 2: 1D node locations 60572241

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2 of 2

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Dividing wall

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1 o f 1

6 0 5 7 2 2 4 1

SHEET TITLE

Figure 3: 2D domain

SHEET NUMBER

Appendix D – Stability and Tabulated model results

Sensitivity Results

Table 1: Sensitivity to increases in flow and roughness

Table 2: Sensitivity to blockage

Model Stability

Baseline - 1 in 200yr

 -0.5 -1 $-$ Cum Q ME (%) ۰ -1.5 -2 -2.5 -3

Baseline - 1 in 200yr+CC

Baseline Results

Table 3: Baseline maximum stage

Table 4: Max Froude

Table 5: Baseline Max Velocity

Mitigation results

Table 6: Mitigation scenario top water levels

Appendix E – Floodmaps

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SHEET TITLE Figure 2: 1 in 5yr Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 4: 1 in 30yr Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 5: 1 in 50yr Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 6: 1 in 75yr Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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PROJECT NUMBER

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SHEET TITLE Figure 7: 1 in 100yr Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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Extent

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SHEET TITLE Figure 9: 1 in 2yr + CC Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

Extent

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SHEET TITLE Figure 10: 1 in 5yr + CC Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 12: 1 in 30yr + CC Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 13: 1 in 50yr + CC Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 14: 1 in 75yr + CC Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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SHEET TITLE Figure 16: 1 in 200yr + CC Baseline Flood Extent SHEET NUMBER 6 0 5 7 2 2 4 1

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Appendix F – Land re-profiling – compensatory storage

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Realigned channel

* slopes leading up from the compensatory storage areas to existing ground levels should be designed at a suitable gradient

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SHEET TITLE

Figure 19: Compensatory storage provision

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Realigned channel

* slopes leading up from the compensatory storage areas to existing ground levels should be designed at a suitable gradient

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SHEET TITLE

Figure 20: Compensatory storage provision

SHEET NUMBER

Appendix G – SEPA checklist

SEPAPE FIOOD RISK ASSESSMENT (FRA) Checklist (SEPAPE 1901 - Version 13 - Last updated 15/04/2015
Protection Agency **FIOOD RISK ASSESSMENT (FRA) Checklist** (SS-NFR-F-001 - Version 13 - Last updated 15/04/2015

This document should be attached within the front cover of any flood risk assessments issued to Local Planning Authorities (LPA) in support of a development proposal which may be at risk of flooding. The document will take only a few minutes to complete and will assist SEPA in reviewing FRAs, when consulted by LPAs. This document should not be a substitute for a FRA.

SEPAPE FLOOD RISK Assessment (FRA) Checklist (SS-NFR-F-001 - Version 13 - Last updated 15/04/2015

aecom.com

Appendix 11.5 – Hydrogeological Assessment Technical Note

A720 Sheriffhall Junction Improvement

Hydrogeological Assessment

Transport Scotland

60572241

11 July 2019

Quality information

Revision History

1. Introduction

This hydrogeological assessment has been prepared to accompany Chapter 11 – Road Drainage and Water Environment of this ES., however reference is also made to this assessment in Chapter16 – Geology and Soils.

2. Baseline Conditions

2.1 Geology

The geology of the area comprises made ground overlying superficial deposits of variable thickness, which overlie the Carboniferous Coal Measures. The superficial geology in the area is composed predominantly of glacial till comprising of sandy gravelly clay with occasional cobbles and boulders, and glaciofluvial deposits comprising of sand and gravel with varying proportions of clay and silt. The glaciofluvial deposits have been identified from ground investigation works at the site to lie extensively under the existing Sheriffhall roundabout. Significant deposits of boulder clay (glacial till) have also been identified during site investigation. The superficial deposits have been shown to vary in thickness between approximately 1m and 24m, with an average thickness of approximately 11.1m. The superficial deposits are typically thickest in the southern part of the area. They have been identified to a maximum depth of approximately 31mAOD. Across the majority of the area, the superficial deposits are overlain by significant deposits of made ground, up to 7m thick, which have been identified during ground investigations at the site.

The underlying bedrock geology comprises of rocks belonging to the Scottish Middle Coal Measures in the north and Lower Coal Measures in the south of the area, both members of the Carboniferous Coal Measures Formation. This formation typically comprises of cyclical deposits of sandstone, siltstone and mudstone, in addition to bands of coal often in excess of 0.3m thick. From ground investigation works at the site, the top of the bedrock has been identified at between approximately 31mAOD and 63mAOD, varying between 1m and 30m below ground level. Based on the BGS sheet, it is inferred that the Carboniferous bedrock dips towards the north-east, with the surface of the bedrock typically shown to be shallowest in the west of the area and deepest towards the north-east. A number of coal seams are recorded to outcrop within the local area and due to their shallow depth, many have been extensively worked. However during the ground investigations in the study area, coal was often recovered and only infrequently listed as a void on driller's logs.

The ground in the vicinity of the proposed development has been significantly faulted, most notably by the east – west trending Sheriffhall Fault which passes through the centre of the area, which downthrows strata to the north by approximately 175m. Several additional minor faults have also been recorded within the area, including another southeast to north-west trending fault approximately 450m to the east of the proposed development

A detailed assessment of the geology is discussed in the full A720 Sheriffhall Junction Improvement Environmental **Statement**

2.2 Hydrogeological Characterisation

The Coal Measures are classified by the Scottish Environmental Protection Agency (SEPA) as the 'Dalkeith Bedrock' groundwater body. The strata are further classified by SEPA as being moderately permeable, with variable permeability and thickness of overlying superficial deposits. The BGS (British Geological Survey) classifies the bedrock in the area as a moderately productive aquifer, in which flow is virtually all through fractures and other discontinuities. Within both the Middle and Lower Coal Measures, it is likely that the sandstone strata are the main water bearing units. The mudstones, siltstones and coals typically act as low permeability barriers to flow, confining the groundwater in underlying sandstone units where the bands are laterally continuous. Groundwater flow also takes place through fractures and fissures within the bedrock, which may have been enhanced following coal mining activities. The Dalkeith Bedrock groundwater body has an overall Water Framework Directive (WFD) status of Poor, due to the effects of extensive coal mining and the resultant degraded water quality. The water quantity and flow of the groundwater have a WFD status of Good. Whilst mine drainage through the former coal workings may be impacting on the hydrogeological conditions in the bedrock, it is understood that there are no active mine drainage schemes currently in operation in the area. Active pumping from the old coal workings ceased at the Monktonhall Colliery, approximately 2.1km north-east of the area in 2009.

Aquifer property data from the joint BGS and SEPA Groundwater Science Programme differentiates between Carboniferous sedimentary rocks that have been extensively mined for coal and those that have not. This is due to unmined coal seams acting as a low permeability layers and restricting flow between sandstone aquifer units, potentially

forming a series of hydrogeologically discrete units. In contrast, mine voids, shafts and tunnels can artificially increase aquifer transmissivity and link previously separate flow systems laterally and vertically. Aquifer property data for Carboniferous sedimentary aquifers extensively mined for coal, estimate a wide transmissivity between 10-1000m²/d and a specific capacity between 48-132m³/d/m.

Glaciofluvial sand and gravel, and mixed deposits are classified as having a high productivity rating, with borehole supplies in excess of 10l/s, by the BGS. The BGS also assumes flow through all superficial deposits will be granular, though acknowledges that flow may occur in fractures in certain tills. The glaciofluvial deposits in the proposed development area typically have a high intergranular permeability in the sand and gravel units which facilitates groundwater flow. Falling head permeability tests completed in the superficial deposits in March 2019, calculated a permeability of 1.59x10⁻⁵m/s for a sand and gravel deposit, and a permeability between 1.4x10⁻⁶ m/s and 6.0x10⁻⁸ m/s for clay deposits. Due to the variability of the lithology and the presence of low permeability clay-rich units including boulder clay (till) in these deposits, water bearing horizons may be discontinuous and perched aquifers may be encountered.

It is unlikely that there is a significant direct hydrogeological connection between the superficial aquifer and the bedrock due to the presence of low permeability (boulder) clay, siltstone and mudstone strata, all of which limit the vertical hydraulic connectivity through the aquifers. There is no obvious correlation in groundwater level fluctuations between those piezometers measuring the bedrock and those measuring the superficial deposits. There is no hydraulic continuity between groundwater in the superficial deposits and that in the bedrock, with the groundwater level in the Coal Measures being on average approximately 12m lower.

2.3 Groundwater Levels and Flow

Based on the results of groundwater level monitoring, it is considered that groundwater flow in the superficial deposits is approximately east/north-east towards local surface water features, which are assumed to be in hydraulic continuity with groundwater in the superficial deposits. Groundwater in the superficial deposits provides baseflow discharge to the watercourses. It is known from the Borders Railway construction that the ground conditions in the vicinity of Sheriffhall comprised sands with a high groundwater level, which resulted in 'running sands' in the cutting excavation.

Groundwater flow in the bedrock is likely to be approximately down-dip towards the north-east. Historic mine workings, water treatment and dewatering operations in the former coal workings are likely to have significantly disrupted the bedrock hydrogeological conditions and the natural direction of groundwater flow. Information from the Coal Authority has shown that the groundwater level in the former workings has rebounded in this area from approximately 20mAOD in 2012, to 40m to 46mAOD currently.

Groundwater level monitoring was initially completed in 107 piezometers, installed as part of the ground investigation works at the site between July 2018 and February 2019. Subsequently an additional 15 piezometers were installed, in which groundwater level monitoring was completed between April 2019 and May 2019. Analysis has been undertaken of all the available groundwater level monitoring data. Typically, the maximum groundwater level recorded in each piezometer has been used for assessment. The full set of monitoring results is provided in the A720 Sheriffhall Junction Improvement Environmental Statement Appendices.

17 of the piezometers installed as part of the ground investigation phase of works, monitor the groundwater levels in the Coal Measures strata. Water levels were monitored in a number of units in the Coal Measures, and the monitored groundwater level ranges from approximately 18mAOD to 61mAOD. Seven of these piezometers, six of which are located adjacent to the existing roundabout, were recorded as dry on each monitoring occasion, with the lowest levels below 42.1m AOD. The majority of these boreholes are located in the centre of the study area, in line with the existing A720 bypass and roundabout. Groundwater levels in the Coal Measures to the south of the Sheriffhall Fault indicate a maximum groundwater level of approximately 47mAOD. The recorded groundwater level varies between 16.2m and 21.3m below ground. It is likely that previously worked coal seams below this level are flooded. Maximum monitored groundwater levels in the Coal Measures to the north of the Sheriffhall Fault are more variable. This includes the highest recorded water level in the Coal Measures at 56.09mAOD, 3.92m below ground level. This was recorded in the deep piezometer installed in borehole BH17, monitoring a zone of medium strong, grey fine grained sandstone from 48mAOD to 49mAOD, above the coal seams. BH17 is situated adjacent to the westbound carriageway of the A720, approximately 100m from the centre of the existing roundabout. Water levels in the Coal Measures in boreholes BH28-M and BH26-M, to the north-east of the site and east of the Sheriffhall Fault, were considerably lower at 27.36mAOD and 28.67mAOD respectively. These boreholes however monitor lower strata at approximately 18mAOD and 27mAOD. Information received from the Coal Authority shows that recent groundwater levels in the former coal workings are in the range 40m to 46m AOD.

Across the area groundwater conditions in the bedrock are typically confined, with an average maximum pressure head of 5.38m. Notably borehole BH17 recorded a maximum groundwater level approximately 6.1m above the top of the bedrock, into the superficial deposits. During the eight month period of monitoring, the average groundwater level fluctuation was 0.96m and the maximum groundwater level fluctuation was 1.81m. Figure 1 shows the groundwater levels recorded in the bedrock. Based on the groundwater levels in Figure 1, the groundwater flow direction in the bedrock is inferred to be approximately east/north-east. It is unclear as to whether the Sheriffhall Fault has a major impact on the groundwater flow in the Coal Measures.

94 of the piezometers installed as part of the ground investigation phase of works, monitor the groundwater level in the superficial deposits, of these 27 piezometers were recorded as dry on each monitoring occasion. The majority of the dry boreholes were monitoring clay-rich strata or shallow (<5mbgl) sand and gravel deposits. The highest recorded water level during the period of monitoring was 68.62mAOD. This was recorded in the piezometer installed in borehole BH73. BH73 is situated adjacent to the A7, approximately 450m from the centre of the proposed development and facilitates monitoring of the sand and gravel. During the initial eight month period of monitoring, the average groundwater level fluctuation was 0.56m and the maximum groundwater level fluctuation was 2.97m. The minimum monitored depth to water table varied between 0.04mbgl (59.95m AOD) in Borehole BH65 (located in the south of the area), and 11.16mbgl (50.35m AOD) in Borehole BH82 (located adjacent to the southern portion of the existing roundabout). Figure 2 shows the groundwater levels recorded in the superficial deposits. Typically the water levels are highest in the south and west of the area, and lowest in the north-east of the area. This indicates an approximate east/north-east flow direction. However due to the variability of the superficial deposits, groundwater is likely to be present in discrete pockets of granular deposits and may not be vertically or laterally continuous.

Five of the piezometers installed as part of the ground investigation phase of works, monitor the groundwater level in the made ground. The maximum recorded water levels vary between 67.08mAOD (5.30m below ground) to the west of the study area and 51.32mAOD (1.50m below ground) to the north-east of the study area. Figure 3 shows the groundwater levels recorded in the made ground.

The remaining 6 piezometers installed as part of the groundwater investigation phase of the works, are screened against both the bedrock and overlying superficial deposits. One of these piezometers were recorded as dry on each monitoring occasion, with levels below approximately 48mAOD. The remaining six piezometers had a maximum recorded water level between 36.14mAOD and 59.86mAOD. As these boreholes facilitate monitoring of both the bedrock and the superficial deposits, the relevance of these levels is unclear.

2.4 Groundwater Quality

Groundwater associated with historic mining activity in the area tends to be poor quality with a low pH and elevated sulphate, iron and fluoride concentrations. The Dalkeith Bedrock groundwater body has an overall WFD status of Poor, due to the extensive former coal mining works and resultant degraded water quality.

In-situ groundwater quality monitoring was completed in June 2018 on samples from Boreholes BH05-M, BH26-M, BH28-M, BH41-M, BH45-M, BH55-M, BH59-M and BH80-M in the bedrock, and Boreholes BH28A, BH29, BH40, BH50, BH62-M, BH70A, BH74, BH76 in the superficial deposits. This included observations for LNAPL and DNAPL presence, turbidity, redox potential (ORP), dissolved oxygen, electrical conductivity (EC), temperature and pH. None of the samples indicated the presence of LNAPLs or DNAPLs. The pH ranged from 6.26 to 7.94 in the bedrock and from 6.66 to 7.76 in the superficial deposits. The average EC recorded in the bedrock was 953µs/cm. The average EC recorded in the superficial deposits was 1060us/cm.

Additional in-situ groundwater quality monitoring was completed in May 2019 on samples from Borehole BH89, BH90, BH91, BH93 and BH110 in the superficial deposits. No LNAPLs or DNAPLS were detected. The pH ranged from 6.39- 7.93.

It is understood that no further groundwater quality monitoring, including of groundwater in the made ground, has been undertaken.

2.5 Groundwater Abstractions

There are six BGS registered water wells within 2km of the study area. Three of these exploit the Coal Measures formation and have depths between approximately 103m and 122m. Details for the remaining three boreholes are not available. The presence of a BGS well record is not indicative of an active groundwater abstraction. Information provided by the Midlothian Council Environmental Health Officer indicated that there are no private water supplies in the vicinity of the proposed works.

2.6 Groundwater Surface Water Interactions

A small watercourse, Dean Burn, runs to the south and east of the study area flowing north-east towards the River North Esk. It is located at its closest point approximately 100m south of the existing A720. The water quality appears to be poor, with iron rich deposits on the bed of the Burn and cloudy water noted at the time of the site visits. It has been reported that historically an outflow from a constructed wetland used to treat contaminated drainage from Gilmerton Coal Bing, located approximately 1.9km west of the roundabout and to the north of the A720, also entered the Dean Burn. It is understood that the Bing has now been removed and replaced by a scrap yard. There is also an outfall into the Dean Burn from an old sewage treatment works located to the south side of the Dean Burn, approximately 800m west of the roundabout.

The River North Esk runs to the south and east of the study area, flowing north-east towards the confluence with the River South Esk. It is located at its closest point approximately 900m south of the existing roundabout. The River North Esk has a Poor WFD status. The River South Esk runs approximately 2km to the east of the area and also flows north towards the confluence with the River North Esk. The confluence of the River South Esk and River North Esk is approximately 2.4km north east of the site. Two SEPA flow monitoring stations are located on the River North Esk (NT332675) and River South Esk (NT338677), approximately 1.45km and 2km east of the area respectively. As superficial glacial deposits have an extensive coverage in the wider area, it is likely the watercourses are in hydraulic continuity with the superficial deposits.

There is a small pond located approximately 430m west of the Sheriffhall Roundabout, immediately to the south of the A720 embankment. The pond is recorded as approximately 110m long and 50m wide. Review of the available information has identified the pond has been formed post construction of the A720. This is a private pond whose primary purpose is supply water via an underground pipe to the agricultural fields to the north of the A720. There is presence of small hides which are utilised for shooting activities. The pond is supplied by water directly from the Dean Burn. There are inlet and outlet metal pipes were identified during a site walkover in 2018.

The nearest mapped springs according to the Scottish Wetland Inventory are approximately 3.6km south-west of the area. No mine discharges in the vicinity of the existing roundabout have been identified. There is also a mine water treatment scheme at Monktonhall, approximately 2.1km north-east of the study area.

There is one Site of Special Scientific Interest (SSSI) located in proximity to the study area. Dalkeith Oakwood is an ancient relict oak woodland located within the Dalkeith Country Estate, approximately 1.8km north east of the project site. The SSSI is not water dependant. There are no RAMSAR sites, designated wetlands or local nature reserves within 2km.

2.7 Historic Mine Workings

The Sheriffhall area has been subjected to extensive underground coal mining. Local mining activities have been identified in the vicinity of the area, from data sourced from the Coal Authority's Coal Mining Report and the BGS Environmental Geology Map. These indicate a total of 40 mine entries within the immediate vicinity of the site, 16 of which are considered to be directly affected by the proposed scheme and thus pose a risk to surface stability. There also remains potential for unrecorded mine entries.

Available mine plans relating to the site have been reviewed and confirmed the presence of workings beneath the site in eleven coal seams (from shallowest to deepest): the Diamond, Musselburgh Jewel, Little Splint, Cowpits Five Foot, Salters (Whitehall Rough), Nine Foot (Whitehill Splint), Fifteen Foot (combined Pinkie Three Foot and Six Foot), Six Foot (Whitehall Jewel), Great Seam, Stairhead and Parrot Seam. These dip approximately north-east towards the River Esk, downstream of the confluence between the River North Esk and River South Esk. It is understood from ground investigation that these workings have largely collapsed. There are no identified adits in the mine working areas proposed for grouting treatment as part of the construction works.

There is a mine water treatment scheme at Monktonhall, approximately 2.1km north-east of the study area. Correspondence with the Coal Authority indicates that there are several proposed mine water treatment sites in the vicinity of the study area. Their proposed locations are approximately 0.9km south, 2.0km south-east and 3.1km southeast of the study area.

There are currently no active local groundwater/mine water control operations reported by the Coal Authority. Groundwater pumping at Monktonhall ceased in 2009.

3. Assessment of Potential Impacts

3.1 Introduction

The proposed scheme has potential to cause adverse impacts on the groundwater conditions, both in respect to groundwater flow and groundwater quality. Adverse impacts on the groundwater system could also result in associated impacts on local surface water features. The assessment has been split into two phases; construction which is expected to last from 2022 to 2024, and operation thereafter. An assessment of impacts has been completed for each phase. In order to assess the potential impacts of the project on the hydrogeological conditions, a conceptual hydrogeological model of the project site has been prepared. The conceptual model forms the basis of a qualitative risk assessment of the potential impacts of the construction and operation of the project on groundwater flow and quality.

3.2 Conceptual Hydrogeological Model

Based on the assessment of the baseline information on geology, aquifer properties and groundwater levels, an initial conceptual hydrogeological model has been developed for the area. A summary is given below.

- The local geology consists of superficial deposits of variable thickness, up to approximately 30m, comprising glacial sand, gravel, silt and clay (till) in varying proportions.
- Underlying the superficial deposits is the Carboniferous Coal Measures Formation, comprising the Scottish Middle Coal Measures Formation to the north and the Scottish Lower Coal Measures Formation to the south. These are both comprised of cyclical deposits of sandstone, siltstone and mudstone and coal. The strata dip approximately to the north-east.
- The area is significantly faulted, most notably by the east west trending Sheriffhall Fault which passes through the centre of the study area. It is estimated to down throw strata by approximately 175m, terminating many of the identified shallow coal seams on the western side of the fault.
- The area has been extensively mined and several mine entries have been identified in the vicinity of the project area. It is understood that where present, the coal seam workings have largely collapsed underneath the project site.
- Groundwater levels have been monitored in the superficial deposits. Some monitored boreholes were recorded as dry on all monitoring occasions. Maximum recorded groundwater levels varied between 46.65mAOD and 68.62mAOD. Due to the heterogeneity of the glacial deposits and the abundance of clay in the sequence, there may not be lateral or vertical hydraulic continuity within the superficial deposits.
- It is inferred that groundwater flow in the superficial deposits is generally to the east-north-east towards local surface water features, which are assumed to be in hydraulic continuity with groundwater in the superficial deposits.
- Areas of made ground are also present at the site. Groundwater is present in the made ground.
- Groundwater levels have been monitored in the bedrock formation. Within both the Middle and Lower Coal Measures, the sandstone units form the main water bearing units. The mudstones, siltstones and coal strata typically act as low permeability barriers to flow, confining the groundwater in the sandstones where the lower permeability bands are laterally continuous. Flow will also occur through fractures and fissures within the bedrock. Where the coal seams have been worked, any remaining voids will be flooded where they are below the water table and in hydraulic continuity with the sandstone strata.
- Monitored groundwater levels to the south of the Sheriffhall Fault indicate a maximum groundwater level of approximately 47mAOD, with a typical maximum water level between 45mAOD and 47mAOD. Maximum monitored groundwater levels to the east of the fault are more variable, ranging from 27.36mAOD to 56.09mAOD. Over 40% of the monitored boreholes were recorded as dry to levels of less than 42.1mAOD on all monitoring occasions; these boreholes are typically located around the centre of the project site.
- Across the study area, bedrock groundwater conditions are typically confined, with an average maximum pressure head of 5.38m. Borehole BH17 showed a pressure head of 6.1m above the top of the bedrock into the superficial deposits.

- Groundwater flow in the bedrock can be very approximately assumed to be in the direction of dip, to the east-northeast. Localised faulting may act as a barrier to groundwater flow. Bedrock groundwater discharge points have not been explicitly identified in the vicinity of the site.
- It is unlikely that there is significant hydrogeological connection between the superficial aquifer and the bedrock due to the presence of (boulder) clay, siltstone and mudstone strata which limits vertical hydraulic connectivity between both aquifers. This is confirmed by the significant difference in the groundwater level in the superficial deposits and those in the bedrock.

3.3 Receptor Assessment

3.3.1 Introduction

The methodology adopted for the hydrogeological impact assessment has been based on the source-pathway-receptor approach. For there to be an identifiable impact, there must be a source i.e. a contaminant or an activity; a receptor; and, a pathway, which allows the source to impact on a receptor. All three elements must be present before a plausible linkage and a potential impact can be realised.

Key groundwater receptors have been identified and assessed based on their perceived sensitivity, the magnitude of the potential impacts and the significance of the effects in line with the DMRB Volume 11, Section 2 'Assessment and Management of Environmental Effects'. Effects of minor and negligible significance are considered acceptable. For those impacts of moderate or high significance, a detailed assessment has been carried out and the need for mitigation measures addressed. When assessing the importance of each receptor the criteria and examples outlined in [Table 3-1](#page-193-0) have been followed.

Table 3-1 Estimating the Sensitivity of the Water Environment

3.3.2 Superficial Aquifers

Superficial glacial till and glaciofluvial deposits underlie the proposed scheme. It is assumed that the deposits are in hydraulic continuity with the nearby surface water features. There are no known abstractions from the superficial deposits in the study area. The superficial aquifer is considered to be of Medium importance.

3.3.3 Bedrock Aquifers

The Scottish Middle and Lower Coal Measures, known as the Dalkeith Bedrock Aquifer, underlie the proposed scheme. There are no known abstractions from this aquifer in the area. The Dalkeith Bedrock groundwater body is classified under the WFD. It has a current status of Poor, due to legacy mining activities negatively impacting on groundwater quality. However the quantity and flow of the groundwater have a WFD status of Good. The Dalkeith bedrock aquifer is therefore considered to be of Medium importance.

3.3.4 Surface Water Features

It is assumed that local surface water features including the small pond, Dean Burn, River North Esk and River South Esk, are in hydraulic continuity with the superficial glacial deposits. The surface water features in the area are considered to be of Medium importance.

3.3.5 Designated and Non-Designated Sites

Dalkeith Oakwood SSSI is situated approximately 1.8km north-east of the study area. It is considered to be of High importance. The woodland is not assessed as groundwater dependant and is located a significant distance from the proposed works. It has therefore ben discounted from further discussion as the proposed scheme is assessed as having no impact.

3.4 Construction Phase

3.4.1 Discussion

Impacts on the groundwater environment are likely to be most pronounced during the construction phase, due to the high level of activity and opportunity for the release of contaminants and disruption of groundwater flow. The main elements of this stage of the project which potentially could impact on groundwater are associated with:

- Fuel and chemical storage and use;
- Storage of wastes (hazardous and non-hazardous);
- Discharge of surface runoff and dewatering water, potentially containing high levels of suspended solids;
- Filling of small pond by proposed earthworks;
- Realignment of Dean Burn;
- Construction of site investigation and dewatering boreholes, trenches and other excavations;
- Excavation below superficial groundwater level for construction of SuDS ponds and NMU routes;
- Ingress of confined groundwater from the Coal Measures, following excavation of confining superficial deposits;
- Piling, retaining wall foundations and other permanent below ground structures impacting on groundwater flow;
- Grouting of below ground structures including old mine workings;
- Dewatering of superficial deposits; and
- Dewatering of the bedrock aquifer in order to control confined groundwater pressures and minimise the risk of heave.

Impacts on groundwater quality in both the superficial and bedrock aquifers could result from spillages and leaks of fuels and chemicals from bulk storage, and vehicle and plant usage and the associated contaminated surface run-off. Existing mine shafts, and the construction of further ground investigation boreholes or excavations into the superficial or bedrock

aquifer could create pathways for near-surface pollutants to reach the groundwater in the Coal Measures. The high water table makes groundwater in the superficial deposits especially susceptible to pollution, as the pathway length for a surface contaminant to reach groundwater generally is very short and hence minimises any potential for attenuation.

As discussed in the full A720 Sheriffhall Junction Improvement Environmental Statement there are five proposed SuDS ponds constructed to assist with road and NMU (Non-Motorised User) drainage from the project site and the development. The ponds are assumed to be lined and then drain under gravity to the local watercourses. Impacts to surface water quality may occur from possible contaminated site drainage water, in addition to construction activity at the small pond, Dean Burn and River North Esk. This could result in a deterioration of the groundwater quality in the superficial deposits, which are assumed to be in hydraulic continuity with the surface water system.

The discharge of surface run-off with a high concentration of suspended solids from site runoff but also from dewatering of excavations has the potential to impact on the quality of groundwater and surface water bodies.

The lowest point across all proposed infrastructure is approximately 57.1mAOD, on the NMU (Non-Motorised User) route. The lowest proposed level of the SuDS ponds is 55.1mAOD. This is a small NMU drainage pond located in the south-eastern part of the scheme. The nearest boreholes monitoring groundwater level in the superficial deposits are BH89 and BH90 located in the immediate vicinity of the proposed SuDS pond. These recorded a maximum groundwater level of 54.82mAOD and 55.82mAOD respectively. It is therefore possible that minimal groundwater dewatering of the superficial deposits will be required to facilitate construction activities including excavation and installation of the SuDS ponds and carriageways in this part of the site. Inadequate provision for the pre-treatment and disposal of extracted groundwater, which may have a high concentration of suspended solids, has the potential to impact on local surface water quality.

Piling is required in the proposed scheme of works for several structures. The piles are assumed to be of significant depth and will penetrate through the overlying superficial deposits and at least 6m into competent layers of the bedrock aquifer. A maximum bedrock groundwater level has been recorded at 56.09mAOD (approximately 6.1m above the top of the bedrock aquifer) in Borehole BH17. BH17 is situated adjacent to the westbound carriage way of the A720, approximately 100m from the centre of the study area. Where located below the bedrock water table, the piles will act as a low permeability barrier to groundwater flow and may cause change to groundwater levels, flow rates and flow directions. Providing that there is a reasonable thickness of aquifer below the base of the piles, impacts will be localised. The placement of grout in the piles may impact on groundwater quality, as there will be direct contact between the grout and the groundwater. Where the piles terminate above the bedrock groundwater level, there will be no impacts to bedrock groundwater flow and negligible impacts on bedrock groundwater quality. There may be localised impacts to superficial groundwater flow and quality.

Retaining walls and foundations for the NMU routes are only proposed to extend into the superficial deposits, potentially causing changes to superficial groundwater conditions but not affecting the groundwater conditions in the bedrock aquifer.

Permanent excavations are not expected to extend below the superficial deposits. Groundwater confined in the bedrock aquifer has been shown to have pressure heads of up to 6.1m into the superficial deposits. Excavation of the overlying superficial deposits may result in ground heave of excavations and uncontrolled groundwater inflow from the bedrock aquifer. Dewatering may therefore be required in order to control the confined water pressure in the bedrock aquifer. Inadequate provision for the pre-treatment and disposal of extracted groundwater, which may have a high concentration of suspended solids, has the potential to impact local water quality.

The proposed scheme of works details extensive grouting of the Coal Measures aquifer beneath the area in order to achieve ground stabilisation and remediate the significant historic shallow mining activity. The proposed extent of grouting activity is discussed in the full A720 Sheriffhall Junction Improvement Environmental Statement. The area of grout treatment includes a section approximately 325m in length and 100m wide under the eastern portion of the A720, and an extensive area under the current western portion of the Sheriffhall roundabout, approximately 1500m in length and up to 700m wide. Grouting in the shallower coal seams under both the central and eastern sections is proposed; including the Whitehall Upper, Whitehall Great, Whitehall Rough, Whitehall Split, Whitehall Parrot Rough and Whitehall Jewel, and Splint and Rough respectively. Monitored water levels in the bedrock predominantly represent groundwater within the sandstone units. Water levels within the coal seams have not been explicitly monitored. However it is expected that where these seams are below the groundwater level in the Coal Measures that they are in continuity with the groundwater and have a similar groundwater level.

The use of grout in mine working treatment, including these coal seams, or as part of other below ground structures, could impact temporarily the groundwater quality as a result of leaching from the cement slurry. This could result in a short-term release of contaminants, such as chromium, into the groundwater. Once the grout has cured, further contamination is unlikely. Displacement of groundwater from voids along the grouted coal seams will occur. It is likely that

any groundwater within these seams is already of poor quality; however water quality within the coal seams has not been explicitly monitored.

Grouting also has the potential to disrupt and/or act as a barrier to local groundwater flow. It is understood from ground investigations that many of the coal workings have collapsed, reducing the potential volume of groundwater. However it is likely that the hydraulic conductivity of mining voids is significantly greater than that of the surrounding non-mined strata. Grouting will therefore remove or reduce the existing artificial flow paths through the worked seams, and could also block any fractures and fissures though which groundwater movement currently occurs. Any displaced groundwater will travel along alternative flow paths offering the least resistance in the bedrock. This may include adits and untreated workings, although no additional features have been identified outside the area targeted for treatment. This may lead to a diversion of groundwater flow either under or around the grouted zones. Typically groundwater would be expected to rise locally on the upstream side of the grouted areas and to be lower on the downstream side of the grouted areas. There is also the potential for contaminated groundwater to be mobilised towards local surface water features, such as the River North Esk or Dean Burn, or to the surface via grout treatment holes and untreated mine entrances. Groundwater in certain units within the Coal Measures has been monitored and shows high confined pressures.

3.4.2 Assessment of Superficial Aquifer

Groundwater levels in the superficial deposits have been monitored at a higher level than the proposed formation levels for the scheme and it is therefore assumed that the superficial deposits will require dewatering in order to enable construction. Impacts on groundwater flow are expected to be localised. The high water table also makes the superficial aquifer susceptible to contamination from surface activities during construction. Piling and excavations, associated with the scheme's engineering works, also have the potential to impact on superficial groundwater flow locally.

The proposed scheme is considered to have a **Moderate magnitude impact** on the quality of the groundwater in the superficial deposits during construction due to surface potentially contaminative activities, such as the leakage and spillages of fuels and chemicals. This produces a **Moderate significance of effect** on the superficial groundwater quality during construction.

The proposed scheme is considered to have a **Minor magnitude impact** on the groundwater flow of the superficial aquifer during construction as impacts on groundwater flow are expected to be localised. This produces a **Slight significance of effect** on groundwater flow in the superficial deposits during construction.

3.4.3 Assessment of Bedrock Aquifer

The proposed scheme's deep engineering works, specifically piling and the grouting of mine workings, have the potential to impact on both the quality and flow of groundwater in the Coal Measures aquifer. Impacts on groundwater flow are expected to be localised compared to the overall aquifer extent. Contamination of bedrock groundwater from surface activities is also possible via pathways from mine workings. Excavation of the bedrock aquifer is not expected to be required.

The proposed scheme is considered to have a **Minor magnitude impact** on the groundwater quality of the Coal Measures aquifer during construction. This produces a **Slight significance of effect** on the quality of the groundwater in the bedrock during construction.

The proposed scheme generally is considered to have a **Minor magnitude impact** on groundwater flow in the Coal Measures aquifer during construction, but locally in the vicinity of the grouting works, impacts are considered **Moderate**. Overall, this produces a **Slight significance of effect** on the groundwater flow in the bedrock during construction.

3.4.4 Assessment of Surface Water Bodies

Surface run-off and site drainage during construction may result in degradation of surface water quality. Temporary dewatering of the superficial deposits and possibly the Coal Measures may result in changes to groundwater/surface water interactions and a deterioration in surface water quality. Mobilisation and discharge of contaminated groundwater in the Coal Measures aquifer, as a result of the piling and grouting operations, may impact on the quality and flow of surface water bodies.

The proposed scheme is assessed as having a **Minor magnitude impact** on the surface water bodies in the area during construction. This produces a **Slight significance of effect** on surface water during construction.

3.5 Operational Phase

The main elements of this stage of the project which potentially could impact on groundwater are associated with:

- Permanent dewatering of the superficial deposits, via gravity flow;
- Permanent below ground features, such as pilings, foundations or grouted workings disrupting groundwater flow.

External groundwater conditions which potentially could impact on the operational phase of the project include:

• Changes to local mine treatment and dewatering operations.

It is possible that groundwater will need to be permanently dewatered from the superficial deposits in the areas of the NMU routes. It is understood that this is will be completed via a gravity drainage system, discharging to the south of the project site.

As discussed in the full A720 Sheriffhall Junction Improvement Environmental Statement there are five proposed SuDS ponds which capture drainage from the Proposed Scheme. Superficial groundwater levels higher than the proposed base of the SuDS ponds may cause the uplift of the pond lining, in the case that no residual water remains after drainage. It is assumed that ponds will act as settlement / attenuation / treatment basins to remove the bulk of any contamination and reduce impacts to surface water quality from possible contaminated drainage water; which could result in a degradation of the groundwater in the superficial aquifers which are assumed to be in hydraulic continuity.

It is considered unlikely that operation of the proposed scheme will have any additional impacts on the groundwater level or quality in the deeper Coal Measures aquifer.

3.5.1 Assessment of Superficial Aquifer

Groundwater levels in the superficial deposits have been monitored at a higher level than the completed levels of parts of the proposed scheme and it is therefore assumed that the superficial deposits may require permanent dewatering locally for operation. Impacts on groundwater flow are expected to be localised. Permanent piling, associated with the scheme's engineering works, also have the potential to impact on superficial groundwater flow locally.

The proposed scheme is considered to have a **Minor magnitude impact** on the quality of groundwater in the superficial deposits during operation. This produces a **Slight significance of effect** on the groundwater quality in the superficial deposits during operation.

The proposed scheme is considered to have a **Minor magnitude impact** on the groundwater flow in the superficial aquifer during operation as impacts on groundwater flow are expected to be localised. This produces a **Slight significance of effect** on groundwater flow in the superficial deposits during operation.

3.5.2 Assessment of Bedrock Aquifer

The deep piling and the grouting of mine workings as part of the construction phase, have the potential to cause a long term impact on the flow of groundwater in the bedrock aquifer. These impacts on groundwater flow are discussed in Section 2.4.3 and are expected to be localised compared to the overall aquifer extent.

The proposed scheme is considered to have a **Negligible magnitude impact** on the groundwater quality of the bedrock aquifer during operation. This produces a **Neutral significance of effect** on the bedrock groundwater during operation.

The proposed scheme is considered to have a **Minor magnitude impact** on the groundwater flow of the bedrock aquifer during operation, but locally in the vicinity of the site grouting, impacts are considered **Moderate**. This produces a **Slight significance of effect** on the bedrock groundwater during operation.

3.5.3 Assessment of Surface Water Bodies

Surface run-off and site drainage during operation may result in degradation of surface water quality. However this impact will be reduced through settlement in the SuDS pond reducing the bulk of the contamination.

The proposed scheme is assessed as having a **Minor magnitude impact** on the surface water bodies in the area during operation. This produces a **Slight significance of effect** on surface water bodies during operation.

4. Mitigation

4.1 Introduction

Mitigation measures have been recommended and designed, in particular where the assessment has indicated an impact of High or Moderate significance on groundwater. These include standard site mitigation measures, as well as project specific mitigation works.

4.2 Construction Phase

Appropriate mitigation will be required, primarily during construction, to ensure that potential impacts are minimised wherever possible.

This will include appropriate site layout and design, with the aim to minimise the potential for fuel or other contaminant leakage and uncontrolled site runoff. For example, containment of all fuel storage tanks in bunded areas; controlled refuelling operations; contained area for cement washout; appropriate storage of chemicals in contained areas; and appropriate treatment of surface water and dewatering water prior to discharge. This should follow SEPA environmental guidance for site layout.

Standard mitigation during construction will be provided in a Construction Environmental Management Plan (CEMP) which will include an Erosion Prevention and Sediment Control Plan in order to minimise sediment mobilisation or release of pollutants into the adjacent watercourses, or risk of contamination to groundwater.

Prior to the commencement of excavations and below-ground construction, the current groundwater quality should be established. A contaminated land survey should also be completed to identify any potential historic contamination and the potential for the presence of contaminated ground that may be excavated as part of the scheme. Dewatering activities should require groundwater discharges to be directed into settlement lagoons, to reduce the suspended solids concentration, before subsequent discharge to surface watercourses. Any groundwater dewatering required from the bedrock aquifer should also be adequately treated before discharge, as it may be of poor quality and potentially contaminated.

A major part of the mitigation measures will be to ensure the control of grout during the mine workings treatment. Measures should be implemented during both the design and construction phases of the works. This will include controlling grout run-off on the ground surface and prevent grout reaching agricultural soils, watercourses or causing contamination of groundwater. Care should also be taken to prevent the grout extending past the target zone. This may be controlled by measures such as the use of gravel to form curtain walls to the grout. If practicable, large voids should initially be filled with permeable granular materials, such as gravel, to allow some groundwater flow to remain and minimise hydraulic obstruction. Existing or potential mine water discharges should be identified via a water features survey and a review of abandoned coal working plans prior to construction and a regular visual monitoring assessment should be implemented to observe for areas of seepage of migrated groundwater arising from grouting activities.

All piles should be installed in accordance with EA / SEPA methodology. This is of particular importance where the proposed piles terminate below the groundwater level in the Coal Measures. Where the piles terminate above the groundwater level in the bedrock, there will be no impacts on groundwater flow and negligible impacts on bedrock groundwater quality. A piling risk assessment may be required.

In order to assess the impacts of the proposed scheme on groundwater level and quality, a programme of regular groundwater level and quality monitoring should be established and implemented prior to the commencement of any construction works. Monitoring for potential impacts, including groundwater level and quality monitoring in both the superficial and bedrock aquifers, and surface water discharges, will allow for timely maintenance, remediation and restoration to minimise potential direct and indirect impacts. This is especially important before and during grouting operations to observe for any adverse effects on groundwater. Guidance from the Coal Authority and SEPA including *Stabilising mine workings with PFA grouts. Environmental code of practice 2nd Edition, BRE Report 509*, should be adopted throughout the design and construction process to minimise impacts on groundwater during these operations*.*

4.3 Operational Phase

Potential operational impacts can also be mitigated through an effectively designed drainage scheme which discharges surface run-off away from the site. Any permanent dewatering activities, such as in the vicinity of the NMU, should also require groundwater discharges to be settled to reduce suspended solids concentration before subsequent discharge to surface watercourses. The current design of the SuDS pond will enable this.

There are not expected to be any operational impacts to the existing or proposed mine treatment works close to the site which may impact on the hydrogeological conditions at the site. Guidance from the Coal Authority and SEPA should be referred to as required if changes are later proposed.

5. Residual Impact Assessment

5.1 Introduction

The residual impact on receptors after the implementation of mitigation measures are discussed only where the premitigation assessment of the receptors has indicated an impact on groundwater of High or Moderate significance.

5.2 Superficial Aquifer

After implementation of the appropriate mitigation measures detailed above, the significance of the residual effect on groundwater quality and flow in the superficial deposits during construction is assessed as **Slight**.

5.3 Summary

After mitigation, all receptors (superficial quality, superficial flow, bedrock quality, bedrock flow and surface water features) are assessed as having a slight or neutral residual impact. A summary of the assessment of potential impacts is shown i[n Table 5-1.](#page-199-0)

Table 5-1 Summary of the Assessment of Potential Impacts

6. Conclusions and Recommendations

Based on the assessment, it is concluded that following the implementation of appropriate mitigation measures, there are no residual impacts on groundwater in both the superficial deposits and in the Coal Measures aquifer during both construction and operations with a significance greater than **Slight**.